



Independent observer
of the Global Fund

ROUND FIVE DECISIONS

Based on advice from the Technical Review Panel (TRP), the Board divides eligible proposals into five Categories, as follows:

- Category 1: Approved proposals requiring no or minor clarifications, which need to be provided within 4 weeks and then given a final approval by the TRP Chair and/or Vice-Chair.
- Category 2A: Proposals that are provisionally approved subject to the required clarifications being provided within a limited timeframe (6 weeks for the applicant to respond to the TRP queries, 4 months to obtain the final TRP approval should further clarifications be requested). The primary reviewer and secondary reviewer as well as TRP Chair and /or Vice-Chair need to give final approval.
- Category 2B: Similar to Category 2A, but regarded as relatively weak, on grounds of technical merit and/or issues of feasibility and likelihood of effective implementation. The TRP took no account of the applicant country's income level, nor of burden of disease nor of any factors other than technical merit and feasibility in grading a proposal as Category 2B. In other words, these proposals differ from Category 2A proposals only in that they have more technical weaknesses, and/or more questions as to effective implementation, and/or more required clarifications. It is important to note, however, that on balance all of the Category 2B proposals were regarded as recommended for funding, and the TRP believes that the weaknesses and clarifications could be addressed within the timeframes provided for Category 2A proposals.
- Category 3: Not approved in their present form, but applicants are encouraged to submit an improved proposal in some future Round.

- Category 4: Rejected.

If the Fund had sufficient pledges for 2005 to cover the cost of all Category 1, 2A and 2B proposals, it would have approved all of them at last week's meeting, subject to the applicants responding acceptably to the TRP's requests for clarification that will be sent to the applicants.

However, given that the Fund currently has insufficient pledges to cover all the Category 1, 2A and 2B proposals, the board agreed to proceed as follows, using a procedure specified at an earlier board meeting:

- Category 1 proposals are approved.
- Category 2A and 2B proposals are given a "Composite Index" of between 1 and 8 points, as follows:
 - If the country has a "very high" disease burden, it receives 4 points, and otherwise it receives 1 point.
 - In addition, if the country is "low-income" it receives 4 points, and if it is "lower-middle income" it receives 2 points. ("Upper-middle income" countries receive 0 extra points.)

Proposals were ranked by Category and then, for Categories 2A and 2B, they were sub-ranked by Composite Index. This led to them being grouped as in Table 5, below.

The Fund currently has enough pledges to pay for the first three groups. These have been labeled by GFO as "Immediately Approved: A, B and C". Proposals that fall into these three groups are approved, subject to satisfactory responses being provided to the TRP queries.

The Fund does not currently have sufficient pledges to pay for proposals in the next eight groups, labeled by GFO as "Provisionally Approved D, E, F, G, H, I, J and K". When the Fund received pledges sufficient to cover each additional group (in the order shown below), the board will approve those proposals by email.

The Secretariat will start working immediately with all provisionally approved applicants on dealing with TRP queries and negotiating grant agreements. If all those activities have been completed before pledges and actual cash contributions have been received, the actual signing of grant agreements will have to be put on hold.

However, provisionally approved proposals for which insufficient pledges are received by the end of June 2006 will lose their provisional approval and will be treated as if they had not been approved.

Table 5: Round 5 results by Category

Decision	Explanation	Number of proposals	Budget Years 1-2	Budget Years 1-5
Immediately Approved: A	Category 1	5	\$43,310,437	\$98,935,651
Immediately Approved: B	Category 2A, Composite Index 8	18	\$290,762,706	\$728,609,400
Immediately Approved: C	Category 2A, Composite Index 6	3	\$48,003,918	\$149,563,776

Subtotal: All “Immediately Approved” proposals	26	\$382,077,061	\$977,108,827	
Provisionally approved: D	Category 2A, Composite Index 5	12	\$133,276,403	\$345,346,815
Provisionally approved: E	Category 2A, Composite Index 4	2	\$9,529,070	\$24,888,718
Provisionally approved: F	Category 2A, Composite Index 3	13	\$92,575,047	\$165,266,161
Provisionally approved: G	Category 2B, Composite Index 8	4	\$87,358,034	\$207,352,569
Provisionally approved: H	Category 2B, Composite Index 7	1	\$3,269,731	\$6,623,560
Provisionally approved: I	Category 2B, Composite Index 6	1	\$6,501,141	\$21,232,348
Provisionally approved: J	Category 2B, Composite Index 5	2	\$3,356,219	\$6,868,700
Provisionally approved: K	Category 2B, Composite Index 3	2	\$7,673,482	\$19,814,848
Subtotal: All “Provisionally Approved” proposals	37	\$343,539,127	\$797,393,719	
Total: All “Immediately Approved” plus “Provisionally Approved” proposals	63	\$725,616,188	\$1,774,502,546	
Not approved: L	Category 3	120	\$2,266,242,296	\$5,096,656,571
Not approved: M	Category 4	19	\$306,210,534	\$750,510,205
Subtotal: All “Not Approved” proposals	139	\$2,572,452,830	\$5,847,166,776	
GRAND TOTAL	202	\$3,298,069,018	\$7,621,669,322	

Table 6: Round 5 results by country

Country	Applicant	Decision (See definitions above)	Component	Budget Years 1-2
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Afghanistan	CCM	Provisionally approved: D	Malaria	\$17,093,000
Afghanistan	CCM	Not approved: L	HIV/AIDS	\$4,663,000
Afghanistan	CCM	Not approved: L	HSS	\$1,980,000
Albania	CCM	Provisionally approved: F	HIV/AIDS	\$2,502,000
Albania	CCM	Provisionally approved: F	TB	\$877,000
Angola	CCM	Not approved: L	Malaria	\$39,227,000
Armenia	CCM	Provisionally approved: K	TB	\$3,898,000
Armenia	CCM	Not approved: M	Malaria	\$1,705,000
<u>Azerbaijan</u>	<u>CCM</u>	<u>Immediately Approved: A</u>	<u>TB</u>	<u>\$3,825,000</u>
Azerbaijan	CCM	Not approved: L	Malaria	\$3,179,000
<u>Bangladesh</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>TB</u>	<u>\$10,003,000</u>
Bangladesh	CCM	Not approved: L	HIV/AIDS	\$8,135,000
Bangladesh	CCM	Not approved: L	Malaria	\$18,478,000
Bangladesh, Bhutan, India, Maldives, Nepal, Pakistan, Sri Lanka	Regional CM	Not approved: M	HIV/AIDS	\$15,464,000
Belarus	CCM	Not approved: L	TB	\$13,239,000
Benin	CCM	Provisionally approved: D	HIV/AIDS	\$19,709,000
Benin	CCM	Not approved: L	HSS	\$6,560,000
Benin	CCM	Not approved: L	Malaria	\$10,714,000

Benin	CCM	Not approved: L	TB	\$3,575,9
Benin, Cote d'Ivoire, Ethiopia, Nigeria, Zimbabwe	Regional org. (PSI and African Union)	Not approved: M	HIV/AIDS	\$17,304,4
Bosnia Herzegovina	CCM	Provisionally approved: F	HIV/AIDS	\$4,832,5
Bosnia Herzegovina	CCM	Not approved: L	TB	\$1,171,2
Botswana	CCM	Provisionally approved: E	TB	\$5,515,9
<u>Brazil</u>	<u>CCM</u>	<u>Immediately Approved: C</u>	<u>TB</u>	<u>\$11,602,4</u>
Burkina Faso	CCM	Not approved: L	HIV/AIDS	\$34,432,5
Burkina Faso	CCM	Not approved: L	HSS	\$5,845,7
Burkina Faso	CCM	Not approved: L	Malaria	\$19,208,8
Burundi	CCM	Provisionally approved: G	HIV/AIDS	\$13,053,8
Burundi	CCM	Not approved: L	HSS	\$2,370,2
Burundi	CCM	Not approved: L	Malaria	\$12,215,5
<u>Cambodia</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>TB</u>	<u>\$3,268,7</u>
Cambodia	CCM	Provisionally approved: D	HIV/AIDS	\$16,292,7
Cambodia	CCM	Provisionally approved: G	HSS	\$1,841,6
Cambodia	CCM	Not approved: L	Malaria	\$5,854,1
<u>Cameroon</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>HIV/AIDS</u>	<u>\$4,943,5</u>
<u>Cameroon</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>Malaria</u>	<u>\$12,695,8</u>

Cape Verde	CCM	Not approved: L	HIV/AIDS	\$4,313,5
Central African Republic	CCM	Not approved: L	HIV/AIDS	\$22,082,5
Central African Republic	CCM	Not approved: L	Malaria	\$7,598,9
Chad	CCM	Not approved: L	Malaria	\$17,335,8
<u>China</u>	<u>CCM</u>	<u>Immediately Approved: C</u>	<u>TB</u>	<u>\$17,814,0</u>
China	CCM	Provisionally approved: F	HIV/AIDS	\$12,544,1
China	CCM	Provisionally approved: F	Malaria	\$20,096,1
Colombia	CCM	Not approved: L	HIV/AIDS	\$13,338,5
Comoros	CCM	Not approved: L	Malaria	\$4,110,1
Costa Rica, El Salvador, Guatemala, Honduras, Nicaragua, Panama	Regional org. (Secretary of Social Integration of Central America – SSICA)	Not approved: M	HIV/AIDS	\$13,859,2
<u>Cote d'Ivoire</u>	<u>Non-CCM (CARE)</u>	<u>Immediately Approved: B</u>	<u>HIV/AIDS</u>	<u>\$3,522,0</u>
Cote d'Ivoire	CCM	Not approved: L	HIV/AIDS	\$26,836,5
Cote d'Ivoire	CCM	Not approved: L	Malaria	\$7,897,0
<u>Dem. Rep. Congo</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>TB</u>	<u>\$17,613,0</u>
Dem. Rep. Congo	CCM	Not approved: L	HIV/AIDS	\$60,848,5
Dem. Rep. Congo	CCM	Not approved: L	HSS	\$17,947,4
Dem. Rep. Congo	CCM	Not approved: L	Malaria	\$21,184,7
Dem. Rep. Congo – Kasai	Sub-CCM	Not approved: M	HIV/AIDS	\$66,831,1

Dem. Rep. Congo – Kasai	Sub-CCM	Not approved: M	Malaria	\$16,564,0
Dem. Rep. Congo – Kasai	Sub-CCM	Not approved: M	TB	\$12,702,0
Djibouti	CCM	Not approved: L	Malaria	\$2,344,0
Djibouti	CCM	Not approved: L	TB	\$4,819,0
Dominican Republic	CCM	Not approved: L	Malaria	\$5,368,5
East Timor	CCM	Provisionally approved: D	HIV/AIDS	\$4,304,4
Ecuador	CCM	Not approved: L	Malaria	\$4,097,7
Egypt	CCM	Not approved: L	HIV/AIDS	\$2,185,9
Egypt	CCM	Not approved: L	TB	\$4,588,2
Egypt	CCM	Not approved: M	Malaria	\$2,380,0
El Salvador	CCM	Not approved: L	Malaria	\$1,100,0
Equatorial Guinea	CCM	Not approved: L	Malaria	\$12,906,0
Eritrea	CCM	Provisionally approved: D	HIV/AIDS	\$13,139,0
Eritrea	CCM	Not approved: L	HSS	\$7,697,9
Eritrea	CCM	Not approved: L	Malaria	\$8,844,9
Eritrea	CCM	Not approved: L	TB	\$1,443,4
Ethiopia	CCM	Provisionally approved: G	Malaria	\$64,548,9
Ethiopia	CCM	Not approved: L	HIV/AIDS	\$23,145,9

Ethiopia	CCM	Not approved: L	HSS	\$159,999,5
Ethiopia	CCM	Not approved: L	TB	\$16,440,5
Gabon	CCM	Provisionally approved: E	Malaria	\$4,013,5
Georgia	CCM	Not approved: L	HIV/AIDS	\$2,797,0
Georgia	CCM	Not approved: L	HSS	\$436,5
<u>Ghana</u>	<u>CCM</u>	<u>Immediately Approved: A</u>	<u>TB</u>	<u>\$14,547,5</u>
Ghana	CCM	Provisionally approved: D	HIV/AIDS	\$31,630,0
Ghana	CCM	Not approved: L	HSS	\$12,552,7
Ghana, Uganda, Zimbabwe	Regional org. (TEPHINET)	Not approved: M	HSS	\$16,363,7
Guatemala	CCM	Not approved: L	TB	\$5,826,5
<u>Guinea</u>	<u>CCM</u>	<u>Immediately Approved: A</u>	<u>TB</u>	<u>\$3,391,5</u>
Guinea	CCM	Not approved: L	Malaria	\$3,467,5
<u>Haiti</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>HIV/AIDS</u>	<u>\$19,205,5</u>
India	CCM	Not approved: L	Malaria	\$14,742,4
India	CCM	Not approved: L	TB	\$7,410,0
India	CCM	Not approved: M	HIV/AIDS	\$67,620,4
<u>Indonesia</u>	<u>CCM</u>	<u>Immediately Approved: C</u>	<u>TB</u>	<u>\$18,587,4</u>
Indonesia	CCM	Not approved: L	HIV/AIDS	\$13,671,8

Indonesia	CCM	Not approved: L	Malaria	\$43,145,9
Iran	CCM	Not approved: L	Malaria	\$8,500,0
Jordan	CCM	Provisionally approved: F	TB	\$1,072,8
Jordan	CCM	Not approved: L	HIV/AIDS	\$3,588,9
Kazakhstan	CCM	Not approved: L	HIV/AIDS	\$2,000,2
Kazakhstan	CCM	Not approved: L	TB	\$8,375,6
Kenya	CCM	Provisionally approved: G	TB	\$7,913,6
Kenya	CCM	Not approved: L	HIV/AIDS	\$8,893,6
Kenya	CCM	Not approved: L	HSS	\$11,179,0
Kenya	CCM	Not approved: L	Malaria	\$4,230,6
Kosovo	CCM	Not approved: L	HIV/AIDS	\$1,701,2
Kyrgyzstan	CCM	Provisionally approved: D	Malaria	\$1,692,2
Kyrgyzstan	CCM	Not approved: L	TB	\$5,309,2
<u>Lesotho</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>HIV/AIDS</u>	<u>\$10,013,3</u>
Liberia	CCM	Not approved: L	HIV/AIDS	\$12,394,2
Liberia	CCM	Not approved: L	HSS	\$14,078,6
Liberia	CCM	Not approved: L	Malaria	\$15,015,7
Liberia	CCM	Not approved: L	TB	\$4,750,9

Macedonia	CCM	Provisionally approved: F	TB	\$1,442,4
Madagascar	CCM	Not approved: L	HIV/AIDS	\$9,512,4
Madagascar	CCM	Not approved: L	HSS	\$4,965,7
Madagascar	CCM	Not approved: L	Malaria	\$7,974,5
Madagascar	CCM	Not approved: L	TB	\$8,900,5
<u>Malawi</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>HIV/AIDS</u>	<u>\$7,770,0</u>
<u>Malawi</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>HSS</u>	<u>\$26,965,5</u>
Maldives	CCM	Not approved: M	HIV/AIDS	\$2,016,0
Mali	CCM	Not approved: L	HSS	\$7,083,7
Mali	CCM	Not approved: L	Malaria	\$14,862,7
Mauritania	CCM	Provisionally approved: D	HIV/AIDS	\$6,584,9
Mongolia	CCM	Provisionally approved: D	HIV/AIDS	\$1,898,7
Montenegro	CCM	Provisionally approved: F	HIV/AIDS	\$1,604,0
Mozambique	CCM	Not approved: L	HSS	\$72,100,0
Mozambique	CCM	Not approved: L	Malaria	\$32,694,4
Mozambique, South Africa, Swaziland	Regional CM	Provisionally approved: I	Malaria	\$6,501,7
<u>Namibia</u>	<u>CCM</u>	<u>Immediately Approved: A</u>	<u>TB</u>	<u>\$7,222,7</u>
Namibia	CCM	Not approved: L	HIV/AIDS	\$31,341,8

Namibia	CCM	Not approved: L	Malaria	\$13,136,2
Nepal	CCM	Not approved: L	HIV/AIDS	\$7,717,2
Nepal	CCM	Not approved: L	Malaria	\$5,352,8
Nepal	CCM	Not approved: L	TB	\$3,858,9
<u>Niger</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>Malaria</u>	<u>\$5,148,0</u>
Niger	CCM	Provisionally approved: D	TB	\$6,326,0
Niger	CCM	Not approved: L	HSS	\$7,529,4
<u>Nigeria</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>HIV/AIDS</u>	<u>\$46,424,2</u>
<u>Nigeria</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>TB</u>	<u>\$19,217,3</u>
Nigeria	CCM	Not approved: L	HSS	\$39,505,5
Nigeria	CCM	Not approved: L	Malaria	\$52,404,7
Pakistan	CCM	Not approved: L	HIV/AIDS	\$13,000,4
Pakistan	CCM	Not approved: L	Malaria	\$11,293,2
Pakistan	CCM	Not approved: L	TB	\$15,854,0
Pakistan	CCM	Not approved: M	HSS	\$9,977,8
Papua New Guinea	CCM	Not approved: L	TB	\$1,975,9
Paraguay	CCM	Not approved: M	HIV/AIDS	\$3,941,7
Peru	CCM	Provisionally approved: F	HIV/AIDS	\$9,874,8

Peru	CCM	Provisionally approved: F	TB	\$21,017,5
Peru	CCM	Not approved: L	HSS	\$6,894,2
Philippines	CCM	Provisionally approved: F	HIV/AIDS	\$3,011,9
Philippines	CCM	Provisionally approved: F	Malaria	\$11,097,5
Philippines	CCM	Not approved: L	TB	\$14,208,8
Republic of Congo	CCM	Provisionally approved: D	HIV/AIDS	\$12,043,4
Republic of Congo	CCM	Not approved: L	Malaria	\$17,664,7
Republic of Congo	CCM	Not approved: L	TB	\$4,669,3
Russian Federation	Non-CCM	Provisionally approved: K	HIV/AIDS	\$3,774,8
<u>Rwanda</u>	<u>CCM</u>	<u>Immediately Approved: A</u>	<u>HSS</u>	<u>\$14,322,8</u>
<u>Rwanda</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>Malaria</u>	<u>\$28,140,7</u>
Rwanda	CCM	Not approved: L	HIV/AIDS	\$36,053,4
Samoa, Cook Islands, Fiji, Kiribati, Marshall Islands, Micronesia, Nauru, Niue, Palau, Samoa, Solomon Islands, Tonga, Tuvalu, Vanuatu	Regional Coordinating Mechanism	Not approved: L	HIV/AIDS	\$4,251,4
Samoa, Cook Islands, Fiji, Kiribati, Marshall Islands, Micronesia, Nauru, Niue, Palau, Samoa, Solomon Islands, Tonga, Tuvalu, Vanuatu	Regional Coordinating Mechanism	Not approved: L	TB	\$1,466,9
Sao Tome & Principe	CCM	Provisionally approved: J	HIV/AIDS	\$584,2

Senegal	CCM	Not approved: L	TB	\$8,725,000
Senegal	CCM	Not approved: M	HSS	\$2,321,000
Senegal	CCM	Not approved: M	Malaria	\$8,251,000
Serbia	CCM	Not approved: L	HIV/AIDS	\$4,755,000
Solomon Islands, Vanuatu	Regional Coordinating Mechanism	Provisionally approved: H	Malaria	\$3,269,000
Somalia	Non-CCM	Not approved: L	HSS	\$1,175,000
Somalia	Non-CCM	Not approved: L	Malaria	\$2,567,000
South Africa	CCM	Not approved: L	HIV/AIDS	\$45,010,000
South Africa	CCM	Not approved: L	HSS	\$21,851,000
Sri Lanka	CCM	Not approved: L	Malaria	\$4,356,000
Sri Lanka	CCM	Not approved: L	TB	\$1,700,000
Sri Lanka	CCM	Not approved: M	HIV/AIDS	\$2,607,000
Sudan	CCM	Not approved: L	HIV/AIDS	\$29,424,000
Sudan	CCM	Not approved: L	Malaria	\$24,019,000
Sudan	CCM	Not approved: L	TB	\$6,830,000
Sudan	CCM	Not approved: M	HSS	\$42,800,000
Sudan (Southern Sector)	<u>Sub-CCM</u>	<u>Immediately Approved: B</u>	<u>TB</u>	<u>\$8,592,000</u>
Sudan (Southern Sector)	Sub-CCM	Not approved: L	HSS	\$25,929,000

Suriname	CCM	Provisionally approved: F	HIV/AIDS	\$2,600,0
Tajikistan	CCM	Provisionally approved: J	Malaria	\$2,772,0
Tanzania	CCM	Not approved: L	HIV/AIDS	\$9,204,5
Tanzania	CCM	Not approved: L	HSS	\$22,869,0
Tanzania Zanzibar	CCM	Not approved: L	HIV/AIDS	\$12,722,5
Thailand	CCM	Not approved: L	HIV/AIDS	\$5,920,0
The Gambia	CCM	Provisionally approved: D	TB	\$2,561,5
The Gambia	CCM	Not approved: L	HIV/AIDS	\$7,842,5
The Gambia	CCM	Not approved: L	Malaria	\$18,169,0
Tunisia	CCM	Not approved: L	HIV/AIDS	\$10,007,4
Turkey	CCM	Not approved: M	Malaria	\$2,387,9
Turkey	CCM	Not approved: M	TB	\$1,113,0
Uganda	CCM	Not approved: L	HIV/AIDS	\$5,857,5
Uganda	CCM	Not approved: L	HSS	\$5,605,9
Uganda	CCM	Not approved: L	Malaria	\$49,494,5
Vietnam	CCM	Not approved: L	HIV/AIDS	\$6,500,0
Vietnam	CCM	Not approved: L	TB	\$7,037,0
Yemen	CCM	Not approved: L	Malaria	\$15,889,9

Zambia	CCM	Not approved: L	HIV/AIDS	\$481,308,0
Zambia	CCM	Not approved: L	HSS	\$11,042,0
Zambia	CCM	Not approved: L	Malaria	\$22,147,0
Zambia	CCM	Not approved: L	TB	\$98,610,0
<u>Zimbabwe</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>HIV/AIDS</u>	<u>\$35,931,0</u>
<u>Zimbabwe</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>Malaria</u>	<u>\$21,217,4</u>
<u>Zimbabwe</u>	<u>CCM</u>	<u>Immediately Approved: B</u>	<u>TB</u>	<u>\$10,087,2</u>

Information on Round 5 grants is also available on the Global Fund web site at www.theglobalfund.org/en/about/board/eleveth.

An applicant may appeal the board's decision if the applicant submitted an eligible proposal for a particular component in both Round 4 and Round 5 and was not approved in either case. The appeal must show that the recommendation made by the TRP regarding the Round 5 proposal was based on a "significant and obvious" error. The appeal must be filed with the Secretariat no later than 28 days from when the applicant receives written notice of the board's Round 5 decision. For further details regarding the appeals procedure, see www.theglobalfund.org/en/apply/proposals/appeals.

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