



Independent observer
of the Global Fund

Global Fund Grants with Multiple Principal Recipients

The announcement by the Global Fund that starting with Round 8, it recommends dual-track financing (in which there are one or more PRs from the government sector and one or more from the various non-government sectors) throws increased attention on the need, and the possibilities, for PRs from NGOs, FBOs and the private sector.

Accordingly, in the following analysis, we examine cases in previous Rounds where there have been PRs from NGOs, FBOs and the private sector.

Table 1 shows that in Round 1-7 (in which having two or more PRs was entirely optional), nine percent of grants had two or more simultaneous PRs.

The table also shows that 117 out of 526 grants, or 22 percent, had an NGO, FBO or private sector PR, either on its own, or jointly with another PR.

Specific details on these grants are shown in Tables 2 through 6.

[Technical note:

- For Round 1-6, the PRs shown in the following tables are those specified in the grant agreements. For Round 7 grants (for which grant agreements have not yet been signed), the PRs shown are those that were suggested in the original proposals.
- Where a proposal gets divided into two or more grants, each with its own PR, it is counted here as a single grant rather than as two or more grants.
- Occasionally, in a “single-PR grant”, the PR is replaced after a while with a different PR. These grants are shown in Table 6.]

Table 1: Single-PR and multiple-PR grants, Round 1-7

Category	Number and percentage		
Single-PR grants:			
Civil society or private sector PR:	73		(14%)
NGO (See Table 2)		57	
FBO (See Table 3)		3	
Private sector (See Table 4)		13	
Government PR:	303		(58%)
Ministry of Health		194	
Ministry of Finance		32	
Other government entity		77	
Multilateral agency PR:	93		(18%)
UNDP		83	
Other multilateral agency		10	
Multiple-PR grants:			
Two or more <u>simultaneous</u> PRs (See Table 5)	46		(9%)
Of which at least one was NGO, FBO or private sector		38	
Two or more <u>sequential</u> PRs (See Table 6)	11		(2%)
Of which at least one was NGO, FBO or private sector		6	

Total	526	(100%)
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Table 2: Single-PR grants with an NGO PR

Country	Round	Disease	PR Name
Armenia	2	HIV/AIDS	World Vision International – Armenia Branch
Belize	3	HIV/AIDS	Belize Enterprise for Sustainable Technology
Benin	3	Malaria	Africare
Benin	7	Malaria	Catholic Relief Services
Cameroon	4	HIV/AIDS	CARE International in Cameroon
Comoros	2	Malaria	Association Comorienne pour le Bien-Etre de la Famille (ASCC)
Comoros	3	HIV/AIDS	Association Comorienne pour le Bien-Etre de la Famille (ASCC)
Cote d'Ivoire	3	HIV/AIDS	CARE Cote d'Ivoire
Cote d'Ivoire	5	HIV/AIDS	CARE FRANCE
Cote d'Ivoire	6	Malaria	CARE International in Cote d'Ivoire
Dominican Republic	3	TB	Asociación Dominicana Pro-Bienestar de la Familia (PROFAM)
Dominican Republic	7	TB	Profamilia
Ecuador	4	TB	CARE International Ecuador
Equatorial Guinea	5	Malaria	Medical Care Development International

Guatemala	3	HIV/AIDS	Fundación Visión Mundial Guatemala
Guatemala	4	Malaria	Fundación Visión Mundial Guatemala
Guatemala	6	TB	Fundación Visión Mundial Guatemala
Kenya	1	HIV/AIDS	Sanaa Art Promotions
Kenya	1	HIV/AIDS	Kenya Network of Women With AIDS
Madagascar	1	Malaria	Population Services International
Madagascar	2	HIV/AIDS	Catholic Relief Services – Madagascar
Madagascar	2	HIV/AIDS	Population Services International
Multi-country Africa	2	Malaria	The Medical Research Council (for Regional Malaria Control C
Multi-country Africa	5	Malaria	The Medical Research Council (for Regional Malaria Control C
Multi-country Africa	6	HIV/AIDS	Abidjan-Lagos Corridor Organization (OCAL/ALCO)
Multi-country Americas	4	HIV/AIDS	Caribbean Regional Network of People Living with HIV/AIDS (C
Nicaragua	2	HIV/AIDS	Federación NICASALUD
Nicaragua	2	Malaria	Federación NICASALUD
Nicaragua	2	TB	Federación NICASALUD
Nicaragua	7	Malaria	Federación Red NicaSalud
Niger	7	Malaria	Catholic Relief Services
Nigeria	1	HIV/AIDS	The Yakubu Gowon Center for National Unity and International
Nigeria	2	Malaria	The Yakubu Gowon Center for National Unity and International

Paraguay	3	TB	Alter Vida – Centro de Estudios y Formación para el Ecodesarrollo
Paraguay	6	HIV/AIDS	Fundacion Comunitaria Centro de Informacion y Recursos para el Desarrollo
Paraguay	7	TB	Alter Vida
Peru	2	HIV/AIDS	CARE Peru
Peru	2	TB	CARE Peru
Peru	5	HIV/AIDS	CARE Peru
Peru	5	TB	CARE Peru
Peru	6	HIV/AIDS	CARE Peru
Romania	6	HIV/AIDS	Romanian Angel Appeal Foundation
Romania	6	TB	Romanian Angel Appeal Foundation
Russian Federation	3	HIV/AIDS	The Open Health Institute
Russian Federation	3	TB	Partners In Health
Russian Federation	4	HIV/AIDS	The Russian Health Care Foundation
Russian Federation	4	TB	The Russian Health Care Foundation
Russian Federation	5	HIV/AIDS	Russian Harm Reduction Network
Sierra Leone	2	TB	The Sierra Leone Red Cross Society
Sierra Leone	4	Malaria	The Sierra Leone Red Cross Society
Somalia	3	TB	World Vision – Somalia
Somalia	7	TB	World Vision International

Sudan (South)	7	Malaria	Population Services International
Suriname	4	Malaria	Medische Zending – Primary Health Care Suriname
Tajikistan	3	TB	Project HOPE
Thailand	3	HIV/AIDS	Raks Thai Foundation
Togo	4	HIV/AIDS	Population Services International

Table 3: Single-PR grants with an FBO PR

Country	Round	Disease	PR Name
Multi-country Global	1	HIV/AIDS	Lutheran World Federation
Nigeria	5	TB	Christian Health Association of Nigeria
Zimbabwe	5	TB	Zimbabwe Association of Church Related Hospitals

Table 4: Single-PR grants with a private sector PR

Country	Round	Disease	PR Name
Chile	1	HIV/AIDS	Consejo de las Américas
Haiti	3	Malaria	Fondation SOGEBANK
Haiti	3	TB	Fondation SOGEBANK
Haiti	5	HIV/AIDS	Fondation SOGEBANK

Haiti	7	HIV/AIDS	Fondation SOGEBANK
Philippines	2	Malaria	Tropical Disease Foundation, Inc.
Philippines	2	TB	Tropical Disease Foundation, Inc.
Philippines	3	HIV/AIDS	Tropical Disease Foundation, Inc.
Philippines	5	HIV/AIDS	Tropical Disease Foundation, Inc.
Philippines	5	Malaria	Pilipinas Shell Foundation
Philippines	5	TB	Tropical Disease Foundation, Inc.
Philippines	6	Malaria	Tropical Disease Foundation, Inc.
Serbia	1	HIV/AIDS	The Economics Institute in Belgrade

Table 5: Multiple-PR grants with those PRs functioning simultaneously

Country	Round	Disease	PR Type	PR Name
Afghanistan	7	HIV/AIDS	MOH	Ministry of Health
			NGO	GTZ International Services
Bangladesh	3	TB	MOF	Ministry of Finance
			NGO	BRAC (Bangladesh Rural Advancement Commi
Bangladesh	5	TB	MOF	Ministry of Finance
			NGO	BRAC (Bangladesh Rural Advancement Commi
Bangladesh	6	Malaria	MOF	Ministry of Finance
			NGO	BRAC (Bangladesh Rural Advancement Commi
Brazil	5	TB	Other civil society	Fundação Ataulpho de Paiva
			Other civil society	Fundação Para O Desenvolvimento Científico E
Cote d'Ivoire	2	HIV/AIDS	NGO	CARE International in Cote d'Ivoire [Added for Phase 2]

UNDP	United Nations Development Programme			
Ecuador	2	HIV/AIDS	MOH	Ministry of Health
			NGO	CARE Ecuador
El Salvador	2	HIV/AIDS	MOH	Ministry of Health [Added for Phase 2]
			UNDP	United Nations Development Programme
El Salvador	7	HIV/AIDS	MOH	Ministry of Health
			UNDP	United Nations Development Programme
Ethiopia	7	HIV/AIDS	Other gov't.	HIV/AIDS Prevention and Control Office (HAPCO)
			NGO	AELWHA
			NGO	EFIDDA
Haiti	1	HIV/AIDS	Pvt. sector	Fondation SOGEBANK
			UNDP	United Nations Development Programme
India	4	HIV/AIDS	Other gov't.	The Department of Economic Affairs of the Government of India
			NGO	The Population Foundation of India
India	6	HIV/AIDS	Other gov't.	The Department of Economic Affairs of the Government of India
			NGO	The Population Foundation of India
			NGO	India HIV/AIDS Alliance
India	7	HIV/AIDS	Other gov't.	NACO
			NGO	Indian Nursing Council
			NGO	Centre for Health and Mental Health
Kenya	7	HIV/AIDS	MOF	Ministry of Finance
			NGO	Care International
Madagascar	4	Malaria	MOH	UGP-CRESAN
			NGO	Population Services International
Madagascar	7	Malaria	MOH	UGP-CRESAN
			NGO	Population Services International
Mali	6	Malaria	MOH	Ministry of Health
			NGO	Groupe Pivot Santé Population
Mozambique	2	HIV/AIDS	Other gov't.	The National AIDS Council (CNCS) of Mozambique
			MOH	Ministry of Health
Nepal	2	HIV/AIDS	MOH	Ministry of Health
			UNDP	United Nations Development Programme [Added for Phase 2]
Nepal	2	Malaria	MOH	Ministry of Health
			NGO	Population Services International
Niger	7	HIV/AIDS	MOH	Ministry of Health
			NGO	Coordination Intersectorielle de Lutte contre le SIDA

Nigeria	4	Malaria	NGO	The Yakubu Gowon Center
			NGO	Society for Family Health [Added for Phase 2]
Nigeria	5	HIV/AIDS	Other gov't.	National Agency for the Control of AIDS
			NGO	Society for Family Health
			NGO	Association For Reproductive And Family Health
Pakistan	6	TB	MOH	National TB Control Programme (NTP) Pakistan
			NGO	Mercy Corps
Senegal	1	HIV/AIDS	Other gov't.	The National AIDS Council of Senegal
			NGO	Alliance Nationale Contre le SIDA [Added for Phase 2]
Senegal	6	HIV/AIDS	Other gov't.	The National AIDS Council of Senegal
			NGO	Alliance Nationale Contre le SIDA
Sri Lanka	1	Malaria	MOH	Ministry of Health
			NGO	Lanka Jatika Sarvodaya Shramadana Sangama
Sri Lanka	1	TB	MOH	Ministry of Health
			NGO	Lanka Jatika Sarvodaya Shramadana Sangama
Sri Lanka	4	Malaria	MOH	Ministry of Health
			NGO	Lanka Jatika Sarvodaya Shramadana Sangama
Sri Lanka	6	TB	MOH	Ministry of Health
			NGO	Lanka Jatika Sarvodaya Shramadana Sangama
Tanzania	4	HIV/AIDS	MOF	Ministry of Finance
			NGO	Pact Tanzania
			NGO	Population Services International
			NGO	African Medical and Research Foundation (AMR)
Thailand	2	HIV/AIDS	MOH	Ministry of Health
			NGO	Raks Thai Foundation
Thailand	6	TB	MOH	Ministry of Health
			NGO	World Vision Foundation of Thailand
Ukraine	1	HIV/AIDS	Other gov't.	The Ukrainian Fund to Fight HIV Infection and A
			MOH	Ministry of Health
			UNDP	United Nations Development Programme
			(Note: The above 3 PRs were then replaced with one NGO PR, th	
Ukraine	6	HIV/AIDS	NGO	International HIV/AIDS Alliance in Ukraine
			NGO	All-Ukrainian Network of People Living with HIV/
Yemen	3	HIV/AIDS	MOH	The National AIDS Program
			MOH	National Population Council – Technical Secreta

Zambia	1	HIV/AIDS	FBO	The Churches Health Association of Zambia
			MOF	Ministry of Finance
			MOH	Ministry of Health
			NGO	Zambia National AIDS Network
Zambia	1	Malaria	FBO	The Churches Health Association of Zambia
			MOH	Ministry of Health
Zambia	1	TB	FBO	The Churches Health Association of Zambia
			MOH	Ministry of Health
			NGO	Zambia National AIDS Network
Zambia	4	HIV/AIDS	FBO	The Churches Health Association of Zambia
			MOF	Ministry of Finance
			MOH	Ministry of Health
			NGO	Zambia National AIDS Network
Zambia	4	Malaria	FBO	The Churches Health Association of Zambia
			MOH	Ministry of Health
Zambia	7	Malaria	FBO	Churches Health Association of Zambia (CHAZ)
			MOH	Ministry of Health
Zambia	7	TB	FBO	Churches Health Association of Zambia (CHAZ)
			MOH	Ministry of Health
			NGO	Zambia National AIDS Network
Zanzibar (Tanzania)	6	HIV/AIDS	Other gov't.	Zanzibar AIDS Commission
			MOH	Ministry of Health
Zimbabwe	5	HIV/AIDS	FBO	Zimbabwe Association of Church Related Hospitals
			Other gov't.	National AIDS Council of Zimbabwe

Table 6: Multiple-PR grants with those PRs functioning sequentially

Note: These are grants that were not planned to be multiple-PR grants, but where the original PR was replaced after a while with a different PR. The PRs are shown in the order in which they played that role.

Country	Round	Disease	PR Type	PR Name
Argentina	1	HIV/AIDS	UNDP	United Nations Development Programme
			Pvt. sector	UBATEC S.A.
Bolivia	3	HIV/AIDS	NGO	Centro de Investigación, Educación y Servicios (CIES)
			UNDP	United Nations Development Programme
			NGO	Asociación Ibis – Hivos
Bolivia	3	Malaria	NGO	Centro de Investigación, Educación y Servicios (CIES)
			UNDP	United Nations Development Programme
Bolivia	3	TB	NGO	Centro de Investigación, Educación y Servicios (CIES)
			UNDP	United Nations Development Programme

Burkina Faso	2	HIV/AIDS	UNDP	United Nations Development Programme
			MOH	Permanent Secretariat / National Council to Fight Against
Burkina Faso	4	TB	UNDP	United Nations Development Programme
			MOH	Permanent Secretariat / National Council to Fight Against
Burundi	2	Malaria	MOH	The Projet Sante et Population II of The Ministry of Pub
			MOH	The "Secretariat Executif Permanent" of the "Conseil Na
Costa Rica	2	HIV/AIDS	Other gov't.	The Consejo Técnico de Asistencia Médico Social (CTA
			NGO	HIVOS (Humanistic Institute for Cooperation with Devel
El Salvador	2	TB	UNDP	United Nations Development Programme
			MOH	Ministry of Health
Niger	3	Malaria	NGO	Centre of International Cooperation in Health and Devel
			UNDP	United Nations Development Programme
Zimbabwe	1	HIV/AIDS	UNDP	United Nations Development Programme
			Other gov't.	National AIDS Council of Zimbabwe

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