



Independent observer  
of the Global Fund

## Global Fund Grants with Multiple Principal Recipients

The announcement by the Global Fund that starting with Round 8, it recommends dual-track financing (in which there are one or more PRs from the government sector and one or more from the various non-government sectors) throws increased attention on the need, and the possibilities, for PRs from NGOs, FBOs and the private sector.

Accordingly, in the following analysis, we examine cases in previous Rounds where there have been PRs from NGOs, FBOs and the private sector.

Table 1 shows that in Round 1-7 (in which having two or more PRs was entirely optional), nine percent of grants had two or more simultaneous PRs.

The table also shows that 117 out of 526 grants, or 22 percent, had an NGO, FBO or private sector PR, either on its own, or jointly with another PR.

Specific details on these grants are shown in Tables 2 through 6.

[Technical note:

- For Round 1-6, the PRs shown in the following tables are those specified in the grant agreements. For Round 7 grants (for which grant agreements have not yet been signed), the PRs shown are those that were suggested in the original proposals.
- Where a proposal gets divided into two or more grants, each with its own PR, it is counted here as a single grant rather than as two or more grants.
- Occasionally, in a “single-PR grant”, the PR is replaced after a while with a different PR. These grants are shown in Table 6.]

Table 1: Single-PR and multiple-PR grants, Round 1-7

| Category                                             | Number and percentage |     |       |
|------------------------------------------------------|-----------------------|-----|-------|
| Single-PR grants:                                    |                       |     |       |
| Civil society or private sector PR:                  | 73                    |     | (14%) |
| NGO (See Table 2)                                    |                       | 57  |       |
| FBO (See Table 3)                                    |                       | 3   |       |
| Private sector (See Table 4)                         |                       | 13  |       |
| Government PR:                                       | 303                   |     | (58%) |
| Ministry of Health                                   |                       | 194 |       |
| Ministry of Finance                                  |                       | 32  |       |
| Other government entity                              |                       | 77  |       |
| Multilateral agency PR:                              | 93                    |     | (18%) |
| UNDP                                                 |                       | 83  |       |
| Other multilateral agency                            |                       | 10  |       |
| Multiple-PR grants:                                  |                       |     |       |
| Two or more <u>simultaneous</u> PRs (See Table 5)    | 46                    |     | (9%)  |
| Of which at least one was NGO, FBO or private sector |                       | 38  |       |
| Two or more <u>sequential</u> PRs (See Table 6)      | 11                    |     | (2%)  |
| Of which at least one was NGO, FBO or private sector |                       | 6   |       |

|       |     |  |        |
|-------|-----|--|--------|
| Total | 526 |  | (100%) |
|-------|-----|--|--------|

Table 2: Single-PR grants with an NGO PR

| Country            | Round | Disease  | PR Name                                                       |
|--------------------|-------|----------|---------------------------------------------------------------|
| Armenia            | 2     | HIV/AIDS | World Vision International – Armenia Branch                   |
| Belize             | 3     | HIV/AIDS | Belize Enterprise for Sustainable Technology                  |
| Benin              | 3     | Malaria  | Africare                                                      |
| Benin              | 7     | Malaria  | Catholic Relief Services                                      |
| Cameroon           | 4     | HIV/AIDS | CARE International in Cameroon                                |
| Comoros            | 2     | Malaria  | Association Comorienne pour le Bien-Etre de la Famille (ASCC) |
| Comoros            | 3     | HIV/AIDS | Association Comorienne pour le Bien-Etre de la Famille (ASCC) |
| Cote d'Ivoire      | 3     | HIV/AIDS | CARE Cote d'Ivoire                                            |
| Cote d'Ivoire      | 5     | HIV/AIDS | CARE FRANCE                                                   |
| Cote d'Ivoire      | 6     | Malaria  | CARE International in Cote d'Ivoire                           |
| Dominican Republic | 3     | TB       | Asociación Dominicana Pro-Bienestar de la Familia (PROFAM)    |
| Dominican Republic | 7     | TB       | Profamilia                                                    |
| Ecuador            | 4     | TB       | CARE International Ecuador                                    |
| Equatorial Guinea  | 5     | Malaria  | Medical Care Development International                        |

|                        |   |          |                                                              |
|------------------------|---|----------|--------------------------------------------------------------|
| Guatemala              | 3 | HIV/AIDS | Fundación Visión Mundial Guatemala                           |
| Guatemala              | 4 | Malaria  | Fundación Visión Mundial Guatemala                           |
| Guatemala              | 6 | TB       | Fundación Visión Mundial Guatemala                           |
| Kenya                  | 1 | HIV/AIDS | Sanaa Art Promotions                                         |
| Kenya                  | 1 | HIV/AIDS | Kenya Network of Women With AIDS                             |
| Madagascar             | 1 | Malaria  | Population Services International                            |
| Madagascar             | 2 | HIV/AIDS | Catholic Relief Services – Madagascar                        |
| Madagascar             | 2 | HIV/AIDS | Population Services International                            |
| Multi-country Africa   | 2 | Malaria  | The Medical Research Council (for Regional Malaria Control C |
| Multi-country Africa   | 5 | Malaria  | The Medical Research Council (for Regional Malaria Control C |
| Multi-country Africa   | 6 | HIV/AIDS | Abidjan-Lagos Corridor Organization (OCAL/ALCO)              |
| Multi-country Americas | 4 | HIV/AIDS | Caribbean Regional Network of People Living with HIV/AIDS (C |
| Nicaragua              | 2 | HIV/AIDS | Federación NICASALUD                                         |
| Nicaragua              | 2 | Malaria  | Federación NICASALUD                                         |
| Nicaragua              | 2 | TB       | Federación NICASALUD                                         |
| Nicaragua              | 7 | Malaria  | Federación Red NicaSalud                                     |
| Niger                  | 7 | Malaria  | Catholic Relief Services                                     |
| Nigeria                | 1 | HIV/AIDS | The Yakubu Gowon Center for National Unity and International |
| Nigeria                | 2 | Malaria  | The Yakubu Gowon Center for National Unity and International |

|                    |   |          |                                                                   |
|--------------------|---|----------|-------------------------------------------------------------------|
| Paraguay           | 3 | TB       | Alter Vida – Centro de Estudios y Formación para el Ecodesarrollo |
| Paraguay           | 6 | HIV/AIDS | Fundacion Comunitaria Centro de Informacion y Recursos para       |
| Paraguay           | 7 | TB       | Alter Vida                                                        |
| Peru               | 2 | HIV/AIDS | CARE Peru                                                         |
| Peru               | 2 | TB       | CARE Peru                                                         |
| Peru               | 5 | HIV/AIDS | CARE Peru                                                         |
| Peru               | 5 | TB       | CARE Peru                                                         |
| Peru               | 6 | HIV/AIDS | CARE Peru                                                         |
| Romania            | 6 | HIV/AIDS | Romanian Angel Appeal Foundation                                  |
| Romania            | 6 | TB       | Romanian Angel Appeal Foundation                                  |
| Russian Federation | 3 | HIV/AIDS | The Open Health Institute                                         |
| Russian Federation | 3 | TB       | Partners In Health                                                |
| Russian Federation | 4 | HIV/AIDS | The Russian Health Care Foundation                                |
| Russian Federation | 4 | TB       | The Russian Health Care Foundation                                |
| Russian Federation | 5 | HIV/AIDS | Russian Harm Reduction Network                                    |
| Sierra Leone       | 2 | TB       | The Sierra Leone Red Cross Society                                |
| Sierra Leone       | 4 | Malaria  | The Sierra Leone Red Cross Society                                |
| Somalia            | 3 | TB       | World Vision – Somalia                                            |
| Somalia            | 7 | TB       | World Vision International                                        |

|               |   |          |                                                 |
|---------------|---|----------|-------------------------------------------------|
| Sudan (South) | 7 | Malaria  | Population Services International               |
| Suriname      | 4 | Malaria  | Medische Zending – Primary Health Care Suriname |
| Tajikistan    | 3 | TB       | Project HOPE                                    |
| Thailand      | 3 | HIV/AIDS | Raks Thai Foundation                            |
| Togo          | 4 | HIV/AIDS | Population Services International               |

Table 3: Single-PR grants with an FBO PR

| Country              | Round | Disease  | PR Name                                          |
|----------------------|-------|----------|--------------------------------------------------|
| Multi-country Global | 1     | HIV/AIDS | Lutheran World Federation                        |
| Nigeria              | 5     | TB       | Christian Health Association of Nigeria          |
| Zimbabwe             | 5     | TB       | Zimbabwe Association of Church Related Hospitals |

Table 4: Single-PR grants with a private sector PR

| Country | Round | Disease  | PR Name                 |
|---------|-------|----------|-------------------------|
| Chile   | 1     | HIV/AIDS | Consejo de las Américas |
| Haiti   | 3     | Malaria  | Fondation SOGEBANK      |
| Haiti   | 3     | TB       | Fondation SOGEBANK      |
| Haiti   | 5     | HIV/AIDS | Fondation SOGEBANK      |

|             |   |          |                                     |
|-------------|---|----------|-------------------------------------|
| Haiti       | 7 | HIV/AIDS | Fondation SOGEBANK                  |
| Philippines | 2 | Malaria  | Tropical Disease Foundation, Inc.   |
| Philippines | 2 | TB       | Tropical Disease Foundation, Inc.   |
| Philippines | 3 | HIV/AIDS | Tropical Disease Foundation, Inc.   |
| Philippines | 5 | HIV/AIDS | Tropical Disease Foundation, Inc.   |
| Philippines | 5 | Malaria  | Pilipinas Shell Foundation          |
| Philippines | 5 | TB       | Tropical Disease Foundation, Inc.   |
| Philippines | 6 | Malaria  | Tropical Disease Foundation, Inc.   |
| Serbia      | 1 | HIV/AIDS | The Economics Institute in Belgrade |

Table 5: Multiple-PR grants with those PRs functioning simultaneously

| Country       | Round | Disease  | PR Type             | PR Name                                                    |
|---------------|-------|----------|---------------------|------------------------------------------------------------|
| Afghanistan   | 7     | HIV/AIDS | MOH                 | Ministry of Health                                         |
|               |       |          | NGO                 | GTZ International Services                                 |
| Bangladesh    | 3     | TB       | MOF                 | Ministry of Finance                                        |
|               |       |          | NGO                 | BRAC (Bangladesh Rural Advancement Commi                   |
| Bangladesh    | 5     | TB       | MOF                 | Ministry of Finance                                        |
|               |       |          | NGO                 | BRAC (Bangladesh Rural Advancement Commi                   |
| Bangladesh    | 6     | Malaria  | MOF                 | Ministry of Finance                                        |
|               |       |          | NGO                 | BRAC (Bangladesh Rural Advancement Commi                   |
| Brazil        | 5     | TB       | Other civil society | Fundação Ataulpho de Paiva                                 |
|               |       |          | Other civil society | Fundação Para O Desenvolvimento Científico E               |
| Cote d'Ivoire | 2     | HIV/AIDS | NGO                 | CARE International in Cote d'Ivoire<br>[Added for Phase 2] |

| UNDP        | United Nations Development Programme |          |              |                                                               |
|-------------|--------------------------------------|----------|--------------|---------------------------------------------------------------|
| Ecuador     | 2                                    | HIV/AIDS | MOH          | Ministry of Health                                            |
|             |                                      |          | NGO          | CARE Ecuador                                                  |
| El Salvador | 2                                    | HIV/AIDS | MOH          | Ministry of Health<br>[Added for Phase 2]                     |
|             |                                      |          | UNDP         | United Nations Development Programme                          |
| El Salvador | 7                                    | HIV/AIDS | MOH          | Ministry of Health                                            |
|             |                                      |          | UNDP         | United Nations Development Programme                          |
| Ethiopia    | 7                                    | HIV/AIDS | Other gov't. | HIV/AIDS Prevention and Control Office (HAPCO)                |
|             |                                      |          | NGO          | AELWHA                                                        |
|             |                                      |          | NGO          | EFIDDA                                                        |
| Haiti       | 1                                    | HIV/AIDS | Pvt. sector  | Fondation SOGEBANK                                            |
|             |                                      |          | UNDP         | United Nations Development Programme                          |
| India       | 4                                    | HIV/AIDS | Other gov't. | The Department of Economic Affairs of the Government of India |
|             |                                      |          | NGO          | The Population Foundation of India                            |
| India       | 6                                    | HIV/AIDS | Other gov't. | The Department of Economic Affairs of the Government of India |
|             |                                      |          | NGO          | The Population Foundation of India                            |
|             |                                      |          | NGO          | India HIV/AIDS Alliance                                       |
| India       | 7                                    | HIV/AIDS | Other gov't. | NACO                                                          |
|             |                                      |          | NGO          | Indian Nursing Council                                        |
|             |                                      |          | NGO          | Centre for Health and Mental Health                           |
| Kenya       | 7                                    | HIV/AIDS | MOF          | Ministry of Finance                                           |
|             |                                      |          | NGO          | Care International                                            |
| Madagascar  | 4                                    | Malaria  | MOH          | UGP-CRESAN                                                    |
|             |                                      |          | NGO          | Population Services International                             |
| Madagascar  | 7                                    | Malaria  | MOH          | UGP-CRESAN                                                    |
|             |                                      |          | NGO          | Population Services International                             |
| Mali        | 6                                    | Malaria  | MOH          | Ministry of Health                                            |
|             |                                      |          | NGO          | Groupe Pivot Santé Population                                 |
| Mozambique  | 2                                    | HIV/AIDS | Other gov't. | The National AIDS Council (CNCS) of Mozambique                |
|             |                                      |          | MOH          | Ministry of Health                                            |
| Nepal       | 2                                    | HIV/AIDS | MOH          | Ministry of Health                                            |
|             |                                      |          | UNDP         | United Nations Development Programme<br>[Added for Phase 2]   |
| Nepal       | 2                                    | Malaria  | MOH          | Ministry of Health                                            |
|             |                                      |          | NGO          | Population Services International                             |
| Niger       | 7                                    | HIV/AIDS | MOH          | Ministry of Health                                            |
|             |                                      |          | NGO          | Coordination Intersectorielle de Lutte contre le SIDA         |

|           |   |          |                                                               |                                                          |
|-----------|---|----------|---------------------------------------------------------------|----------------------------------------------------------|
| Nigeria   | 4 | Malaria  | NGO                                                           | The Yakubu Gowon Center                                  |
|           |   |          | NGO                                                           | Society for Family Health<br>[Added for Phase 2]         |
| Nigeria   | 5 | HIV/AIDS | Other gov't.                                                  | National Agency for the Control of AIDS                  |
|           |   |          | NGO                                                           | Society for Family Health                                |
|           |   |          | NGO                                                           | Association For Reproductive And Family Health           |
| Pakistan  | 6 | TB       | MOH                                                           | National TB Control Programme (NTP) Pakistan             |
|           |   |          | NGO                                                           | Mercy Corps                                              |
| Senegal   | 1 | HIV/AIDS | Other gov't.                                                  | The National AIDS Council of Senegal                     |
|           |   |          | NGO                                                           | Alliance Nationale Contre le SIDA<br>[Added for Phase 2] |
| Senegal   | 6 | HIV/AIDS | Other gov't.                                                  | The National AIDS Council of Senegal                     |
|           |   |          | NGO                                                           | Alliance Nationale Contre le SIDA                        |
| Sri Lanka | 1 | Malaria  | MOH                                                           | Ministry of Health                                       |
|           |   |          | NGO                                                           | Lanka Jatika Sarvodaya Shramadana Sangama                |
| Sri Lanka | 1 | TB       | MOH                                                           | Ministry of Health                                       |
|           |   |          | NGO                                                           | Lanka Jatika Sarvodaya Shramadana Sangama                |
| Sri Lanka | 4 | Malaria  | MOH                                                           | Ministry of Health                                       |
|           |   |          | NGO                                                           | Lanka Jatika Sarvodaya Shramadana Sangama                |
| Sri Lanka | 6 | TB       | MOH                                                           | Ministry of Health                                       |
|           |   |          | NGO                                                           | Lanka Jatika Sarvodaya Shramadana Sangama                |
| Tanzania  | 4 | HIV/AIDS | MOF                                                           | Ministry of Finance                                      |
|           |   |          | NGO                                                           | Pact Tanzania                                            |
|           |   |          | NGO                                                           | Population Services International                        |
|           |   |          | NGO                                                           | African Medical and Research Foundation (AMR)            |
| Thailand  | 2 | HIV/AIDS | MOH                                                           | Ministry of Health                                       |
|           |   |          | NGO                                                           | Raks Thai Foundation                                     |
| Thailand  | 6 | TB       | MOH                                                           | Ministry of Health                                       |
|           |   |          | NGO                                                           | World Vision Foundation of Thailand                      |
| Ukraine   | 1 | HIV/AIDS | Other gov't.                                                  | The Ukrainian Fund to Fight HIV Infection and A          |
|           |   |          | MOH                                                           | Ministry of Health                                       |
|           |   |          | UNDP                                                          | United Nations Development Programme                     |
|           |   |          | (Note: The above 3 PRs were then replaced with one NGO PR, th |                                                          |
| Ukraine   | 6 | HIV/AIDS | NGO                                                           | International HIV/AIDS Alliance in Ukraine               |
|           |   |          | NGO                                                           | All-Ukrainian Network of People Living with HIV/         |
| Yemen     | 3 | HIV/AIDS | MOH                                                           | The National AIDS Program                                |
|           |   |          | MOH                                                           | National Population Council – Technical Secreta          |

|                     |   |          |              |                                                  |
|---------------------|---|----------|--------------|--------------------------------------------------|
| Zambia              | 1 | HIV/AIDS | FBO          | The Churches Health Association of Zambia        |
|                     |   |          | MOF          | Ministry of Finance                              |
|                     |   |          | MOH          | Ministry of Health                               |
|                     |   |          | NGO          | Zambia National AIDS Network                     |
| Zambia              | 1 | Malaria  | FBO          | The Churches Health Association of Zambia        |
|                     |   |          | MOH          | Ministry of Health                               |
| Zambia              | 1 | TB       | FBO          | The Churches Health Association of Zambia        |
|                     |   |          | MOH          | Ministry of Health                               |
|                     |   |          | NGO          | Zambia National AIDS Network                     |
| Zambia              | 4 | HIV/AIDS | FBO          | The Churches Health Association of Zambia        |
|                     |   |          | MOF          | Ministry of Finance                              |
|                     |   |          | MOH          | Ministry of Health                               |
|                     |   |          | NGO          | Zambia National AIDS Network                     |
| Zambia              | 4 | Malaria  | FBO          | The Churches Health Association of Zambia        |
|                     |   |          | MOH          | Ministry of Health                               |
| Zambia              | 7 | Malaria  | FBO          | Churches Health Association of Zambia (CHAZ)     |
|                     |   |          | MOH          | Ministry of Health                               |
| Zambia              | 7 | TB       | FBO          | Churches Health Association of Zambia (CHAZ)     |
|                     |   |          | MOH          | Ministry of Health                               |
|                     |   |          | NGO          | Zambia National AIDS Network                     |
| Zanzibar (Tanzania) | 6 | HIV/AIDS | Other gov't. | Zanzibar AIDS Commission                         |
|                     |   |          | MOH          | Ministry of Health                               |
| Zimbabwe            | 5 | HIV/AIDS | FBO          | Zimbabwe Association of Church Related Hospitals |
|                     |   |          | Other gov't. | National AIDS Council of Zimbabwe                |

Table 6: Multiple-PR grants with those PRs functioning sequentially

Note: These are grants that were not planned to be multiple-PR grants, but where the original PR was replaced after a while with a different PR. The PRs are shown in the order in which they played that role.

| Country   | Round | Disease  | PR Type     | PR Name                                               |
|-----------|-------|----------|-------------|-------------------------------------------------------|
| Argentina | 1     | HIV/AIDS | UNDP        | United Nations Development Programme                  |
|           |       |          | Pvt. sector | UBATEC S.A.                                           |
| Bolivia   | 3     | HIV/AIDS | NGO         | Centro de Investigación, Educación y Servicios (CIES) |
|           |       |          | UNDP        | United Nations Development Programme                  |
|           |       |          | NGO         | Asociación Ibis – Hivos                               |
| Bolivia   | 3     | Malaria  | NGO         | Centro de Investigación, Educación y Servicios (CIES) |
|           |       |          | UNDP        | United Nations Development Programme                  |
| Bolivia   | 3     | TB       | NGO         | Centro de Investigación, Educación y Servicios (CIES) |
|           |       |          | UNDP        | United Nations Development Programme                  |

|              |   |          |              |                                                           |
|--------------|---|----------|--------------|-----------------------------------------------------------|
| Burkina Faso | 2 | HIV/AIDS | UNDP         | United Nations Development Programme                      |
|              |   |          | MOH          | Permanent Secretariat / National Council to Fight Against |
| Burkina Faso | 4 | TB       | UNDP         | United Nations Development Programme                      |
|              |   |          | MOH          | Permanent Secretariat / National Council to Fight Against |
| Burundi      | 2 | Malaria  | MOH          | The Projet Sante et Population II of The Ministry of Pub  |
|              |   |          | MOH          | The “Secretariat Executif Permanent” of the “Conseil Na   |
| Costa Rica   | 2 | HIV/AIDS | Other gov’t. | The Consejo Técnico de Asistencia Médico Social (CTA      |
|              |   |          | NGO          | HIVOS (Humanistic Institute for Cooperation with Devel    |
| El Salvador  | 2 | TB       | UNDP         | United Nations Development Programme                      |
|              |   |          | MOH          | Ministry of Health                                        |
| Niger        | 3 | Malaria  | NGO          | Centre of International Cooperation in Health and Devel   |
|              |   |          | UNDP         | United Nations Development Programme                      |
| Zimbabwe     | 1 | HIV/AIDS | UNDP         | United Nations Development Programme                      |
|              |   |          | Other gov’t. | National AIDS Council of Zimbabwe                         |

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