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# Key Strengths of Round 8 Proposals to the Global Fund

An Aidspan Report

9 February 2009

by

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# Preface

## ***Aidspan***

Aidspan is a non-governmental organisation originally based in New York, U.S., but since mid-2007 based in Nairobi, Kenya. Its mission is to reinforce the effectiveness of the Global Fund to Fight AIDS, Tuberculosis and Malaria. Aidspan performs this mission by serving as an independent watchdog of the Fund, and by providing services that can benefit all countries wishing to obtain and make effective use of Global Fund financing.

Aidspan also publishes the *Global Fund Observer (GFO)* newsletter, an independent email-based source of news, analysis and commentary about the Global Fund. To receive GFO at no charge, send an email to [receive-gfo-newsletter@aidspan.org](mailto:receive-gfo-newsletter@aidspan.org). The subject line and text area can be left blank.

Aidspan finances its work primarily through grants from foundations. Aidspan does not accept Global Fund money, perform paid consulting work for specific countries, or charge for any of its products.

Aidspan and the Global Fund maintain a positive working relationship, but have no formal connection. *The board and staff of the Global Fund have no influence on, and bear no responsibility for, the content of this report or of any other Aidspan publication.*

## ***Acknowledgements, permissions, feedback***

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Readers are invited to email David Garmaise ([garmaise@aidspan.org](mailto:garmaise@aidspan.org)), author of this report, or Bernard Rivers ([rivers@aidspan.org](mailto:rivers@aidspan.org)), Executive Director of Aidspan, with questions, comments or suggestions for improvements.

## ***Aidspan publications***

This report is one of over a dozen free Aidspan publications written for those applying for, implementing or supporting grants from the Global Fund. The following is a partial list of Aidspan's publications.

- ***Global Fund Observer***: A free email newsletter providing news, analysis and commentary to nearly 8,000 subscribers in 170 countries. (96 issues over the past five years; currently available in English only)
- ***Aidspan Report: An Analysis of Global Fund Grant Ratings*** (November 2008; available in English only)
- ***Aidspan Report: Do Global Fund Grants Work for Women? An Assessment of the Gender Responsiveness of Global Fund-Financed Programmes in Sub-Saharan Africa*** (July 2008; available in English only)

- ***Aidspan White Paper: Scaling Up to Meet the Need: Overcoming Barriers to the Development of Bold Global Fund-Financed Programs*** (April 2008; available in English only)
- ***Aidspan White Paper: Providing Improved Technical Support to Enhance the Effectiveness of Global Fund Grants*** (March 2008; available in English only)
- ***The Aidspan Guide to Round 8 Applications to the Global Fund – Volume 1: Getting a Head Start*** (January 2008; available in English, French and Spanish)
- ***The Aidspan Guide to Round 8 Applications to the Global Fund – Volume 2: The Applications Process and the Proposal Form*** (March 2008; available in English, French and Spanish)
- ***Aidspan Documents for In-Country Submissions*** (December 2007; available in English, French, Spanish and Russian)
- ***The Aidspan Guide to Building and Running an Effective Country Coordinating Mechanism (CCM)*** (Second edition September 2007; available in English, French and Spanish)
- ***The Aidspan Guide to Understanding Global Fund Processes for Grant Implementation – Volume 1: From Grant Approval to Signing the Grant Agreement*** (December 2005; originally titled “*The Aidspan Guide to Effective Implementation of Global Fund Grants.*” Available in English only.)
- ***The Aidspan Guide to Understanding Global Fund Processes for Grant Implementation – Volume 2: From First Disbursement to Phase 2 Renewal*** (November 2007; available in English, French and Spanish)
- ***The Aidspan Guide to Developing Global Fund Proposals to Benefit Children Affected by HIV/AIDS*** (May 2006; available in English only)
- ***The Aidspan Guide to Obtaining Global Fund-Related Technical Assistance*** (January 2004; available in English only)

### **Downloads**

To download a copy of any of these publications, go to [www.aidspan.org](http://www.aidspan.org). If you do not have access to the web but you do have access to email, send a request to [publications@aidspan.org](mailto:publications@aidspan.org) specifying which publications you would like to receive as attachments to an email. Aidspan does not produce or distribute printed copies of these publications.

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## List of Proposals Cited in This Report

The following table provides a list of Round 8 proposals that are cited as examples of one or more of the key strengths described in this report. The proposals are listed by disease and, within each disease category, in alphabetical order of country. (There are also several cross-cutting HSS components listed.) For each proposal and HSS component, the table indicates the pages number(s) in this report where the proposal or component is cited.

Proposal	Page number(s)
<b>HIV</b>	
Chad*	11, 22
Democratic Republic of Congo*	33
Eritrea	24, 39
Gabon*	13, 19, 37
Gambia	33
Indonesia	16, 19, 29
Lesotho	27
Mali*	17
Mauritius	12, 23
Moldova	22
Nicaragua**	25, 30
Tanzania	27
Thailand	21
Viet Nam	35
<b>MALARIA</b>	
Bolivia**	17
Democratic Republic of Congo*	35
Ethiopia	18, 32
Nigeria	26
Papua New Guinea	30
Swaziland	14, 18
<b>TB</b>	
Armenia	16
Bangladesh	26
Congo*	39
Indonesia	29, 37
Madagascar*	32
Moldova	20
Sudan North	39
Tajikistan	21, 25
Thailand	13
West Bank and Gaza	14
<b>HSS</b>	
Belarus	41
Guyana	41
Swaziland	41
Zambia	40
Zimbabwe	41

Note: Proposals marked with a single asterisk (\*) were originally submitted in French. Proposals marked with a double asterisk (\*\*) were originally submitted in Spanish. However, the Global Fund considers that only the English translations of these proposals are official. For more information, see "Note on Non-English-Language Versions of Proposals" In Chapter 1: Introduction and Background.

# Chapter 1: Introduction and Background

## Purpose of This Report

The purpose of this report is to provide information to future Global Fund applicants on key attributes of a strong proposal.

## Background

For each new round of funding from the Global Fund, Aidspace has produced a guide on preparing applications. Each guide has included an analysis of the strengths and weaknesses of proposals submitted in previous rounds of funding, based on comments of Technical Review Panel (TRP), which reviews each proposal and prepares a funding recommendation for the Global Fund Board of Directors. On one occasion, the analysis was published as a separate document.

For these analyses, Aidspace identified the strengths and weaknesses most commonly identified by the TRP, and summarised some of the TRP's comments. With respect to the strengths, Aidspace cited proposals that the TRP had praised and provided links to websites where these proposals could be viewed and downloaded. The lists of both strengths and weaknesses were quite long, as was the list of proposals.

This report takes a different approach. First, it deals only with strengths, and only with proposals submitted in one particular round (Round 8, the last round to be completed). Second, it identifies a limited number of strengths (12) – strengths that Aidspace considers to be the most important. Third, it pinpoints specific parts of proposals (as opposed to just the entire proposals) that illustrate those strengths. Fourth, it identifies a limited number of proposals for each strength. The intent is to make this report as concise as possible, so that it can be a useful resource for future applicants.

## Methodology

Aidspace developed a list of 12 key strengths that characterise a successful proposal, based in its analysis of the strengths most frequently mentioned by the TRP in its comments for all proposals it recommended for funding.<sup>1</sup> In developing the list, we were also influenced by the “minimum fundamental prerequisites for a recommendation for funding,” identified by the TRP in a recent report to the Global Fund Board.<sup>2</sup> Please see Annex A for the text of the TRP's prerequisites.

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<sup>1</sup> The TRP assigns all proposals it reviews a rating which can range from Category 1 to Category 4. Only proposals rated Category 1 or 2 are recommended for funding. Category 2 proposals include a sub-category (Category 2B). If there is insufficient money available to pay for all Category 2 proposals, preference is given to proposals rated Category 2 over proposals rated Category 2B.

<sup>2</sup> Global Fund, “*Report of the Technical Review Panel and the Secretariat on Round 8 Proposals*,” available via [www.theglobalfund.org/en/board/meetings/eighteenth/documents](http://www.theglobalfund.org/en/board/meetings/eighteenth/documents).

## The Aidspan List of Key Strengths

The Aidspan list of key strengths is as follows:

**KEY STRENGTH 1 – Implementation Strategy.** The proposal presents a strong and coherent implementation strategy that flows throughout the proposal – including on the proposal form, in the Performance Framework, in the workplan and in the budget – and that includes timing and sequencing of activities, identification of who is responsible for implementing individual activities, and clear statements of planned outcomes.

**KEY STRENGTH 2 – Epidemiology.** The proposal contains a solid description of the current epidemiological situation.

**KEY STRENGTH 3 – Situational Analysis.** The proposal contains a solid analysis of the current response to the disease and the gaps in that response.

**KEY STRENGTH 4 – Capacity Building.** The proposal identifies capacity constraints among implementing agencies and contains solid strategies to address them.

**KEY STRENGTH 5 – Drivers of the Epidemic.** The proposal includes clear strategies to address the more challenging drivers of the epidemic in ways that will have a meaningful impact on preventing further infections.

**KEY STRENGTH 6 – Multiple Sectors in Service Delivery.** The proposal includes the use of multiple sectors and partners to deliver services, so as to scale up more quickly towards universal access.

**KEY STRENGTH 7 – Monitoring and Evaluation.** The proposal has a clear plan for monitoring activities and evaluating the impact of interventions.

**KEY STRENGTH 8 – Detailed Budget.** The proposal includes a budget with sufficient detail and assumptions to allow for the costs of activities to be fully assessed.

**KEY STRENGTH 9 – Coordination and Management.** The proposal features a strong coordination and management plan.

**KEY STRENGTH 10 – Complementarity and Additionality.** The proposal complements and adds to other initiatives, including previous Global Fund grants, programmes funded by other donors, and government initiatives.

**KEY STRENGTH 11 – Alignment.** The proposal is clearly aligned with national development strategies, and national policies and plans for the disease.

**KEY STRENGTH 12 – Absorptive Capacity.** The proposal includes a clear and realistic analysis of implementation and absorptive capacity constraints.

Note that while all of the key strengths apply to disease-specific proposals, only some of them apply to the cross cutting health systems strengthening (HSS) components submitted by some applicants.

## Contents of This Report

In **Chapter 2: Findings for Disease-Specific Proposals**, for each of the 12 strengths we identify a limited number of approved Round 8 proposals that were particularly praised by the TRP. For each proposal, the chapter cites the comment made by the TRP, identifies which parts of the proposal exemplify the strength in question, and provides links to websites where these parts of the proposal can be viewed or downloaded.

**Chapter 3: Findings for HSS components** identifies a limited number of HSS components identified by the TRP as being particularly strong. Again, for each proposal listed, the chapter cites the comment(s) made by the TRP, identifies which parts of the proposal exemplify the strength(s) in question, and provides links to websites where these parts of the proposal can be viewed or downloaded.

## Note on Terminology

For the purposes of this guide, “proposal” means a proposal submitted to the Global Fund in either its round-based channel of funding or in its rolling continuation channel (RCC) of funding.

A proposal consists of several parts:

- a proposal form that has been filled out (i.e., completed);
- Attachment A (Performance Framework);
- Attachment B (Preliminary List of Pharmaceutical and Health Products);
- Attachment C (Membership Details of the Coordinating Mechanism);
- Attachment D (Coordinating Mechanism Eligibility);<sup>3</sup>
- a detailed workplan;
- a detailed budget; and
- a series of additional attachments mandated by the Global Fund.

In this guide, “proposal form” means a proposal form that has been completed, unless the context indicates otherwise.

## Note on Non-English-Language Versions of Proposals

Prior to Round 8, when proposals were submitted in a language other than English, the Global Fund posted both the original version and the English translation of the completed proposal form on its website. However, in Round 8, the Fund posted only the English translation. The reason for this is that in Round 8 some changes were made to the screening process. In the past, this process was primarily used to determine if a proposal was eligible for consideration. In Round 8, the screening process was also used to verify whether all of the necessary information was provided, whether the tables were correctly filled out and whether the different parts of the proposal were consistent. Where necessary, the screeners went back to the applicants to ask for clarifications. Any changes made to the proposal as a result of this clarifications process were made *only* to the English-language

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<sup>3</sup> Only a limited number of proposals will contain an Attachment D.

version. Therefore, the Global Fund considers only the English-language versions to be official.

As a result, all of the links provided in this report to extracts from the proposal forms, and to the full proposal forms, are for English-language versions only.

The same applies to other parts of the proposals (i.e., attachments), such as the budgets and workplans. (Most of the attachments are not posted on the Global Fund website, but Aidspan was able to obtain the English-language versions.)

If they wish, readers can contact the relevant CCM for copies of a proposal in the original language. However, they should be aware that the content of the original proposals may differ from the content of the extracts, completed proposal forms and attachments cited in this report (because of the clarifications process described above.)

## **Note on Hyperlinks in This Report**

Each time we cite parts of a proposal, we provide links to the extracts in question, and to the entire proposal. If you click on these links, you will be able to download the appropriate files. Some of the Excel files may take a while to download. If this happens, you may find that the file downloads faster if you copy and paste the link directly into your web browser. If you find that the download is still too slow, replace “.xls” at the end of the link with “.zip”; we have posted zipped versions of the Excel files on the Aidspan website for this purpose. Once you download the zipped file, you can unzip it on your computer.

## Chapter 2: Findings For Disease-Specific Proposals

In this chapter, there is a separate section on each of the 12 key strengths. At the start of each section, the strength is described in a shaded box. Then, information is presented on specific proposals identified by the TRP as exemplifying the strength in question. For each proposal:

- the TRP comment is cited;
- the specific parts of the proposal relevant to the strength in question are identified; and
- links are provided to those parts of the proposal, as well as to the entire proposal form.

For those strengths that relate to technical content (e.g., implementation strategy, situational analysis), we have tried to provide examples of proposals for each of the three diseases, though this was not always possible.

## KEY STRENGTH 1 – Implementation Strategy

The proposal presents a strong and coherent implementation strategy that flows throughout the proposal – including on the proposal form, in the Performance Framework, in the workplan and in the budget – and that includes timing and sequencing of activities and identification of who is responsible for implementing individual activities.

As the description of this strengths indicates, the implementation strategy is described in different parts of the proposal. On the Round 8 proposal form itself, Section 4.5.1 is a key section. This is where the main activities are described and the entities responsible for implementing them are identified. Frequently, applicants included in Section 4.5.1 additional information, such as goals, objectives and service delivery areas (SDAs). Sometimes, applicants also included information on indicators, targets and target audiences.

Two other sections of the proposal form that are relevant to the implementation strategy are Section 4.3.1, where the weaknesses of the national programme for that disease are described; and Section 4.9.3, where the responsibilities of each sub-recipient (SR) are identified.

Other parts of the proposal that are relevant to the implementation strategy are: (a) Attachment A (Performance Framework), where applicants were asked to provide key performance indicators; (b) the workplan, where applicants were required to list the activities of the programme and the timelines for each activity; and (c) the detailed budget, in which, frequently, applicants indicated the costs for each activity in the workplan.

We have divided our analysis of this strength into two parts: (a) solid implementation strategies; and (b) strategies that flowed coherently throughout the proposal.

### ***Solid implementation strategies***

We provide examples of three HIV proposals from Chad, Mauritius and Gabon, and one TB proposal from Thailand, which the TRP identified as having solid implementation strategies.

#### **Chad HIV (Category 2)**

***TRP Comment:*** *Activities are described well with clear statements on institutions and persons responsible for implementation.*

The information referred to by the TRP is found in Section 4.5.1 of the proposal form. For each SDA, the Chad CCM provides a description of the services to be offered; lists the principal activities; describes the indicator(s) that will be used to measure progress; identifies the target population; and identifies who will be responsible for implementing the activities.

⇒ The text of Section 4.5.1 of the Chad HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Chad-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Chad-HIV-4.5.1.pdf).

⇒ The text of the full Chad HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1647&lang=en&CountryId=TCD](http://www.theglobalfund.org/programs/grant/?compid=1647&lang=en&CountryId=TCD).

**Note:** All links in this report are to the English-language versions of proposals, whether the proposal was submitted in English or in another language. For more information, see “Note on Non-English-Language Versions of Proposals” in Chapter 1: Introduction and Background.

### **Mauritius HIV (Category 1)**

**TRP Comment:** Good clear work plans with descriptions of interventions demonstrating strong understanding of current best practices in targeting highly stigmatized risk groups.

The information referred to in the TRP comments can be found primarily in Section 4.5.1 of the proposal form. The Mauritius CCM has provided a description of its implementation strategy that runs to over 40 pages!<sup>4</sup> The description includes a summary of the overall strategy; a list of the objectives of the proposal; information on how the objectives relate to each other; and an explanation of how activities related to human rights and gender cut across the various objectives. The description also contains a section on each objective, organised by SDA, which includes a description of the SDA and a list of the major activities under that SDA.

We believe that the TRP’s comment about best practices was influenced by parts of Section 4.5.1, such as the following:

The [injection drug users], prisoners, PLWHAs, [commercial sex workers], [men who have sex with men] and street children themselves would be sensitised and empowered on protecting their own human rights and having respect for one another by not engaging in gender based violence etc. This would be done by training the peer educators who would work with these groups in these areas, and also using the peer educators to compile reports to track violations of rights by these populations themselves and by the service providers....

Mauritius is not using a syndromic approach; [rather] every single STI [sexually transmitted infection] captured in the public system is referred to the laboratory for investigation and treated by the dermatologist. This is not a good practice in a concentrated HIV & AIDS epidemic, because STI is one of the factors that aid the spread of the epidemic from [injection drug users and commercial sex workers] to the general population.... [Therefore,] every effort [will] be made to treat STIs at the first contact with health services, with services planned for the convenience of the client, and increased access and utilization of the consumer and not that of the provider.

The workplan provided by the Mauritius CCM combines on one page a complete list of activities, organised by SDA and objectives, and the timelines of each activity.

⇒ The text of Section 4.5.1 of the Mauritius HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Mauritius-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Mauritius-HIV-4.5.1.pdf).

⇒ The text of the full Mauritius HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1716&lang=en&CountryId=MVS](http://www.theglobalfund.org/programs/grant/?compid=1716&lang=en&CountryId=MVS).

<sup>4</sup> The instructions on the proposal form call for a response of 4-8 pages. Aidspace normally recommends that applicants try to stay within the space allotted, and we believe that many parts of the information provided by the Mauritius CCM in Section 4.5.1 ought to have been included in other sections of the proposal form. Nevertheless, the TRP was obviously impressed by the clarity and comprehensiveness of the information that was presented.

⇒ The workplan from the Mauritius HIV proposal (in an Excel file together with the budget) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Mauritius-HIV-Workplan.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Mauritius-HIV-Workplan.xls).

### **Gabon HIV (Category 1)**

**TRP Comment:** *Good description of the division of roles and responsibilities of each implementing agency or organization.*

In its comment, the TRP is referring to SRs. In Section 4.9.3 of the proposal form, the Gabon CCM provided a description of the roles and responsibilities of 10 SRs, divided into three categories: (a) entities of the ministries of health and education; (b) entities from other government ministries; and (c) entities from non-government sectors.

⇒ The text of Section 4.9.3 of the Gabon HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Gabon-HIV-4.9.3.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Gabon-HIV-4.9.3.pdf).

⇒ The text of the full Gabon HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1674&lang=en&CountryId=GAB](http://www.theglobalfund.org/programs/grant/?compid=1674&lang=en&CountryId=GAB).

### **Thailand TB (Category 1)**

**TRP Comment:** *Clearly articulated expected results for target populations.*

The information referred to by the TRP is contained in the description of major activities in Section 4.5.1 of the proposal form. Most of the activity descriptions include an “expected outcome.” The following are some examples:

EXPECTED OUTCOME: Within five years, over 60% of HIV positive TB patients will take ART during TB treatment....

EXPECTED OUTCOME: In addition to unmeasured benefits of reduced transmission of tuberculosis (particularly drug-resistant TB) we anticipate an improvement in annual TB case notification among prisoners by 30% by year 2....

EXPECTED OUTCOME: At the end of five years, > 80% of TB patients will be tested for HIV and 60% of HIV-positive will take ART during their TB treatment.

⇒ The text of Section 4.5.1 of the Thailand TB proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Thailand-TB-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Thailand-TB-4.5.1.pdf).

⇒ The text of the full Thailand TB proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1767&lang=en&CountryId=THA](http://www.theglobalfund.org/programs/grant/?compid=1767&lang=en&CountryId=THA).

### ***Strategies that flowed coherently throughout the proposal***

We provide examples of a malaria proposal from Swaziland and a TB proposal from the West Bank and Gaza, both of which the TRP identified as having strategies that flowed coherently throughout the proposal.

## **Swaziland Malaria (Category 1)**

**TRP Comment:** *Presentation of section 4.5.1 (interventions) is a model in clarity ensuring complete alignment of objectives, SDAs, indicators and implementing partners. The budget is realistic and consistent with activities and aligned to the project objectives.*

In Section 4.5.1, for each objective in the proposal, the Swaziland CCM explained the rationale for the objective and provided information on the intended outcome and the target population. Under each objective, the CCM also provided the following information for each SDA: a description of the services to be provided, a description of the major activities, the output indicator(s); the targets for each of the five years in the programme; and a list of implementing and supporting partners.

The activities shown in the budget are presented by objective and are completely consistent with the activities listed in Section 4.5.1.

⇒ The text of Section 4.5.1 of the Swaziland Malaria proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Swaziland-Malaria-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Swaziland-Malaria-4.5.1.pdf).

⇒ The text of the full Swaziland Malaria proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1759&lang=en&CountryId=SWZ](http://www.theglobalfund.org/programs/grant/?compid=1759&lang=en&CountryId=SWZ).

⇒ The workplan from the Swaziland Malaria proposal (in an Excel file together with the budget) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Swaziland-Malaria-Workplan.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Swaziland-Malaria-Workplan.xls).

## **West Bank and Gaza TB (Category 1)**

**TRP Comment:** *Strong proposal, well written, well documented, with a clear focus on vulnerable and at risk groups, and a clear articulation between programmatic gaps, objectives, activities and indicators.*

The programmatic gaps are described in Section 4.3.1 of the proposal form. The point that the TRP is making is that the implementation strategies, which are described in Section 4.5.1, clearly respond to these gaps.

In Section 4.5.1, the SDAs are listed by objective. For each SDA, the main activities are described, as are the expected output and the indicator that will be used to measure progress.

The indicators listed in Section 4.5.1 of the proposal form are, for the most part, consistent with the indicators shown in Attachment A (Performance Framework). The activities listed in Section 4.5.1 of the proposal form are fully consistent with the activities shown in the workplan.

⇒ The text of Section 4.5.1 of the West Bank and Gaza TB proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/WB-and-Gaza-TB-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/WB-and-Gaza-TB-4.5.1.pdf).

⇒ The text of the full West Bank and Gaza TB proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1778&lang=en&CountryId=PSE](http://www.theglobalfund.org/programs/grant/?compid=1778&lang=en&CountryId=PSE).

⇒ Attachment A (Performance Framework) of the Gaza TB proposal, in Excel format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/WB-and-Gaza-TB-Att-A.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/WB-and-Gaza-TB-Att-A.xls).

⇒ The workplan from the West Bank and Gaza TB proposal (in an Excel file together with the budget) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/WB-and-Gaza-TB-Workplan.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/WB-and-Gaza-TB-Workplan.xls).

## KEY STRENGTH 2 – Epidemiology

The proposal contains a solid description of the current epidemiological situation.

Information on epidemiology is included in Sections 4.1 and 4.2 of the Round 8 proposal form.

We provide examples of HIV proposals from Indonesia and Mali, a TB proposal from Armenia and a malaria proposal from Bolivia that the TRP said contained a solid description of the country's current epidemiological situation.

### **Armenia TB (Category 1)**

**TRP Comment:** *During the preparation of the Round 5 proposal in 2005 there was no reliable data on anti-tuberculosis drug resistance, and the burden of drug-resistant tuberculosis was underestimated. Now the nation-wide Drug Resistance Survey has been completed and provided representative information on high levels of drug resistance in the country...*

The TB epidemiology of the target population is presented in Table 4.2.2 of the proposal form. Results of the drug resistance survey referred to in the TRP's comments are presented in an extra table added at the end of Section 4.2.2.

⇒ The text of Section 4.2.2 of the Armenia TB proposal form, including the extra table, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Armenia-TB-4.2.2..pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Armenia-TB-4.2.2..pdf) .

⇒ The text of the full Armenia TB proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1618&lang=en&CountryId=ARM](http://www.theglobalfund.org/programs/grant/?compid=1618&lang=en&CountryId=ARM).

### **Indonesia HIV (Category 1)**

**TRP Comments:** *Epidemiological data presented for all the key populations.*

The entire section on epidemiological background (Section 4.2 of the proposal form) is very solid.

In Section 4.2.1(a), the Indonesian CCM describes the geographic reach of the proposal by listing the provinces and districts, and comparing them with the geographic reach of proposals approved in Rounds 1 and 4. Then, the CCM presents a map of Indonesia showing the provinces to be targeted and the range of people living with HIV in each of those provinces. Finally, the CCM presents a table showing the number of people living with HIV by category (injection drug users, prisoners, female sex workers, etc.) and by province.

In Section 4.2.1(b), the CCM shows the size of the population groups for all of the provinces combined, and indicates the percentage that live in the 12 provinces targeted by the proposal.

In Section 4.2.2, the CCM presents comprehensive data on the HIV epidemiology of the target populations.

⇒ The text of all of Section 4.2 of the Indonesia HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Indonesia-HIV-4.2.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Indonesia-HIV-4.2.pdf).

⇒ The text of the full Indonesia HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1692&lang=en&CountryId=IND](http://www.theglobalfund.org/programs/grant/?compid=1692&lang=en&CountryId=IND).

### **Mali HIV (Category 2)**

**TRP Comments:** *Good epidemiological data was used to support assumptions.*

In its proposal, the Mali CCM expanded the tables in the epidemiology sections to add some useful data. In Section 4.2.1(b) (size of population groups) and Section 4.2.2 (HIV epidemiology of the target populations), the CCM added information on sectors such as sex workers, workers within growth sectors, men having sex with men, young students, youngsters with no schooling, female street vendors, AIDS orphans, disabled persons and truck drivers.

⇒ The text of all of Section 4.2 of the Mali HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Mali-HIV-4.2.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Mali-HIV-4.2.pdf).

⇒ The text of the full Mali HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1714&lang=en&CountryId=MAL](http://www.theglobalfund.org/programs/grant/?compid=1714&lang=en&CountryId=MAL).

### **Bolivia Malaria (Category 2B)**

**TRP Comments:** *Excellent description of epidemiological distribution of cases and risk areas.*

In Section 4.2, the Bolivian CCM provided a number of tables, charts and maps to describe the epidemiological situation. They include: (a) a table showing the number of malaria cases for the period 2000-2007, by parasite species, for the total country; (b) a chart showing the number of malaria cases in 2007, by parasite species and indicators, for the different regions of the country; (c) a map showing the municipalities targeted by the proposal; (d) a table providing more information on the epidemiology in these municipalities; and (e) a second map showing the distribution in municipalities where malaria is transmitted by *P. falciparum*.

In addition, the CCM has provided comprehensive information on the size of the population groups targeted by the proposal (Section 4.1.1(b)), and the malaria epidemiology of the target populations (Section 4.2.2).

⇒ The text of all of Section 4.2 of the Bolivia Malaria proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Bolivia-Malaria-4.2.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Bolivia-Malaria-4.2.pdf).

⇒ The text of the full Bolivia Malaria proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1627&lang=en&CountryId=BOL](http://www.theglobalfund.org/programs/grant/?compid=1627&lang=en&CountryId=BOL).

## KEY STRENGTH 3 – Situational Analysis

The proposal contains a solid analysis of the current response to the disease and the gaps in that response.

The programmatic situational analysis is covered in Sections 4.1 and 4.3 of the Round 8 proposal form. Applicants were asked to describe the current national disease programme in Section 4.1. They were asked to describe the major gaps in the national programme in Section 4.3. The latter contains three subsections: Section 4.3.1 (gaps in the disease programme itself); Section 4.3.2 (weaknesses or gaps in the health system that affect disease outcomes); and Section 4.3.3 (efforts being made to resolve the health system weaknesses and gaps).

The financial situational analysis is covered in Section 5.1 of the proposal form. The section contains a table for financial data, and three subsections – 5.1.1, 5.1.2 and 5.1.3 – where applicants were asked to explain some of the data in the table.

We provide examples of malaria proposals from Swaziland and Ethiopia, HIV proposals from Gabon and Indonesia, and a TB proposal from Moldova that the TRP identified as containing very good situational analyses.

### **Ethiopia Malaria (Category 1)**

**TRP Comments:** *Excellent description of the status of malaria interventions in the country, including both coverage and use (for LLINs, IRS, treatment seeking behavior etc) from MIS in 2007.*

The description referred to in the TRP's comments can be found in Section 4.1(a) of the proposal form. The Ethiopian CCM describes four components of the current national malaria programme: prevention; diagnosis and treatment; surveillance; and support strategies. It then summarises the current epidemiological situation and describes the improved outcomes that the national malaria programme is targeting.

⇒ The text of Section 4.1(a) of the Ethiopia Malaria proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Ethiopia-Malaria-4.1a.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Ethiopia-Malaria-4.1a.pdf).

⇒ The text of the full Ethiopia Malaria proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1672&lang=en&CountryId=ETH](http://www.theglobalfund.org/programs/grant/?compid=1672&lang=en&CountryId=ETH).

### **Swaziland Malaria (Category 1)**

**TRP Comments:** *Very succinct and clear description of constraints in the health system, how they affect the malaria programme and how the health systems policies address them.*

(The TRP's comments on the Swaziland proposal deal with the health system in the context of how it affects malaria programmes. Examples of HSS components that cut across all three diseases, and excerpts from the TRP's comments on these components, are discussed in *Chapter 3: Findings for HSS Components*.)

The Swaziland CCM's comments on the constraints in the health systems and how they affect the national malaria programme are found in Section 4.3.2 of the proposal form. The efforts to address these health system gaps are described in Section 4.3.3.

⇒ The texts of Sections 4.3.2 and 4.3.3 of the Swaziland Malaria proposal form, in PDF format, are available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Swaziland-Malaria-4.3.2-4.3.3.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Swaziland-Malaria-4.3.2-4.3.3.pdf).

⇒ The text of the full Swaziland Malaria proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1759&lang=en&CountryId=SWZ](http://www.theglobalfund.org/programs/grant/?compid=1759&lang=en&CountryId=SWZ).

## **Indonesia HIV (Category 1)**

**TRP Comments:** *Excellent situational analysis.*

Section 4.1(a) of the Indonesia HIV proposal form contains a clear and comprehensive analysis of the current national HIV programme. The CCM uses charts to supplement the information presented in narrative form.

Section 4.3.1 provides a succinct description of three main weaknesses that the CCM identified in the HIV programme. In Sections 4.3.2 and 4.3.3, the CCM discusses the main weaknesses in the health systems as they affect the HIV programme and the steps that are being taken to address these weaknesses.

⇒ The text of Section 4.1(a) of the Indonesia HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Indonesia-HIV-4.1a.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Indonesia-HIV-4.1a.pdf).

⇒ The text of all of Section 4.3 of the Indonesia HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Indonesia-HIV-4.3.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Indonesia-HIV-4.3.pdf).

⇒ The text of the full Indonesia HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1692&lang=en&CountryId=IND](http://www.theglobalfund.org/programs/grant/?compid=1692&lang=en&CountryId=IND).

## **Gabon HIV (Category 1)**

**TRP Comments:** *Clear and very specific description of gaps and needs (systemic as well as situational). These are provided in the general preamble, as well as in an introductory section for each activity. Particularly noteworthy is the intent to (i) Identify the problems and/or gaps discovered/identified by previous initiatives in Global Fund Round 3 and programs supported by other funding agencies; (ii) Specifically identify precise needs (for each activity) through several baseline studies; (iii) Carry out interim assessments of progress; (iv) Capture and follow-up those persons or groups "lost" (e.g. HIV+ mothers and children not being treated) to the system.*

The gaps in Gabon's HIV programmes are described in Section 4.3.1 of the proposal form. As noted in the TRP's comments, the gaps are reiterated in the introduction to each activity (in Section 4.5.1 of the proposal form); this is a good way of demonstrating how the activities in the proposal respond to the gaps.

⇒ The text of Section 4.3.1 of the Gabon HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Gabon-HIV-4.3.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Gabon-HIV-4.3.1.pdf).

⇒ The text of Section 4.5.1 of the Gabon HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Gabon-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Gabon-HIV-4.5.1.pdf).

⇒ The text of the full Gabon HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1674&lang=en&CountryId=GAB](http://www.theglobalfund.org/programs/grant/?compid=1674&lang=en&CountryId=GAB).

### **Moldova TB (Category 1)**

**TRP Comments:** *There is a clear programmatic and financial gap analysis.*

A succinct analysis of the gaps in Moldova's TB programmes can be found in Section 4.3.1 of the proposal form. The financial gap analysis is presented in quantitative terms in the table in Section 5.1. Narrative explanations of the figures in the table can be found in Sections 5.1.1, 5.1.2 and 5.1.3.

⇒ The text of Section 4.3.1 of the Moldova TB proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Moldova-TB-4.3.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Moldova-TB-4.3.1.pdf).

⇒ The text of all of Section 5.1 of the Moldova TB proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Moldova-TB-5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Moldova-TB-5.1.pdf).

⇒ The text of the full Moldova TB proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1717&lang=en&CountryId=MOL](http://www.theglobalfund.org/programs/grant/?compid=1717&lang=en&CountryId=MOL).

**Note:** All links in this report are to the English-language versions of proposals, whether the proposal was submitted in English or in another language. For more information, see “Note on Non-English-Language Versions of Proposals” in *Chapter 1: Introduction and Background*.

## KEY STRENGTH 4 – Capacity Building

The proposal identifies capacity constraints among implementing agencies and contains solid strategies to address them.

There were two sections of the Round 8 proposal form where applicants could describe capacity constraints and how they were being addressed in the proposal: Section 4.7.1 (Strengthening Capacity and Processes to Achieve Improved Disease Outcomes) and Section 4.9.6 (Strengthening Implementation Capacity). The capacity building activities described in these sections should also have been included in other parts of the proposal where the implementation strategy is described. Ideally, the proposal should have had separate objectives on capacity building.

We provide examples from HIV proposals from Moldova, Chad and Thailand, and a TB proposal from Tajikistan, where the TRP said that applicants had presented solid capacity building strategies.

### **Tajikistan TB (Category 2)**

**TRP Comments:** *There is an intention to improve capacity to understand issues affecting service delivery and to use evidence to inform program design (operational research).*

A very clear summary of capacity building plans is provided in Section 4.9.6 of the proposal form. The plan lists a series of capacity building activities and explains how they will be managed.

⇒ The text of Section 4.9.6 of the Tajikistan TB proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Tajikistan-TB-4.9.6.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Tajikistan-TB-4.9.6.pdf).

⇒ The text of the full Tajikistan TB proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1764&lang=en&CountryId=TAJ](http://www.theglobalfund.org/programs/grant/?compid=1764&lang=en&CountryId=TAJ).

### **Thailand HIV (Category 2)**

**TRP Comments:** *Aims to strengthen civil society organization capacities and linkage to government.*

The capacity building plans are summarised in Section 4.7.1 of the proposal form. The proposal includes training for civil society organisations in policy engagement, financial capacity, organisational structures, management capacity and systems integration. Capacity building activities are planned for other sectors as well, including policy makers and planners, media and health care providers.

In addition, many of the objectives and activities described in Section 4.5.1 refer to strengthening systems and undertaking training.

⇒ The text of Section 4.7.1 of the Thailand HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Thailand-HIV-4.7.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Thailand-HIV-4.7.1.pdf).

⇒ The text of Section 4.5.1 of the Thailand HIV proposal, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Thailand-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Thailand-HIV-4.5.1.pdf).

⇒ The text of the full Thailand HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1768&lang=en&CountryId=THA](http://www.theglobalfund.org/programs/grant/?compid=1768&lang=en&CountryId=THA).

### **Chad HIV (Category 2)**

**TRP Comments:** Funds allocated for capacity building in both public and civil society to ensure long term sustainability.

Capacity building activities for each of the proposal's three nominated PRs (one government-related, two non-government) are described in Section 4.9.6 of the proposal form.

⇒ The text of Section 4.9.6 of the Chad HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Chad-HIV-4.9.6.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Chad-HIV-4.9.6.pdf).

⇒ The text of the full Chad HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1647&lang=en&CountryId=TCO](http://www.theglobalfund.org/programs/grant/?compid=1647&lang=en&CountryId=TCO).

### **Moldova HIV (Category 2B)**

**TRP Comments:** Selection of the National League of People Living with HIV for capacity strengthening is seen as a step in the right direction in the context of dual track financing.

The TRP appears to be referring to the fact that in addition to building the capacity of the National League of People Living with HIV to deliver services, that organisation is being groomed as a future PR; it is not one of the PRs in the Round 8 proposal.

There is a summary of the capacity building included in the proposal in Section 4.7.1 of the proposal form. Five types of capacity building are described: international technical assistance, training, systematic partnership building, infrastructure development and sustainable finance. The recipients of the capacity building include the National League of People Living with HIV, health care staff, multidisciplinary care teams and officials in the legal system.

One of the three objectives of the proposal is to strengthen the National League of People Living with HIV. The objective is described in Section 4.5.1 of the proposal form. Thirty-six activities linked to this objective are described in the workplan portion of the proposal.

⇒ The text of Section 4.7.1 of the Moldova HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Moldova-HIV-4.7.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Moldova-HIV-4.7.1.pdf).

⇒ The text of the full Moldova HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1718&lang=en&CountryId=MOL](http://www.theglobalfund.org/programs/grant/?compid=1718&lang=en&CountryId=MOL).

⇒ The workplan for the Moldova HIV proposal (in an Excel file together with the budget) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Moldova-HIV-Workplan.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Moldova-HIV-Workplan.xls).

## KEY STRENGTH 5 – Drivers of the Epidemic

The proposal includes clear strategies to address the more challenging drivers of the epidemic in ways that will have a meaningful impact on preventing further infections.

In its comments on individual proposals, the TRP did not use the specific term “drivers.” However, it praised proposals that addressed underlying determinants of the epidemic, determinants such as gender inequality, stigma and discrimination, and poverty. And it praised proposals that significantly targeted groups that are at high-risk of infection. In countries with concentrated epidemics, these groups are often described as “driving the epidemic.”

In Section 4.5.4 of the proposal form, applicants were asked to summarise how their proposals addressed social and gender inequalities. Strategies described in this section should also have been reflected in the parts of the proposal where the overall implementation strategy is described. Frequently, this information is contained in Section 4.5.1. Activities targeting so-called “high-risk groups” should also be found in Section 4.5.1

We provide examples from HIV proposals from Mauritius, Eritrea and Nicaragua, and from a TB proposal from Tajikistan, which the TRP identified as containing clear strategies to address the drivers of the epidemic.

### **Mauritius HIV (Category 1)**

**TRP Comment:** *This is a very well articulated proposal, with a good program targeting high risk groups in a concentrated epidemic. The strong sensitivity to gender equality issues and the socio-cultural difficulties associated with sexual minorities and intravenous drug users are reflected in intervention strategies, including affirmative action for sex workers and intravenous drug users in job employment.*

In Section 4.5.4 of the proposal form, the Mauritius CCM describes how its proposal will enhance social and gender equality. The CCM refers to reaching out to injection drug users, commercial sex workers, migrants, street children and prisoners. It also explains how the proposal addresses poverty, gender issues, and stigma and discrimination.

The first of the eight objectives of the proposal, as described in Section 4.5.1, says, in part, “Create an enabling environment to fight stigma and discrimination against injection drug users and people living with HIV...” The CCM provides a comprehensive description of the strategies that will be used to make this happen, and includes a list of more than a dozen activities. These same activities are listed in the workplan section of the proposal, along with timelines for when the activities will be implemented.

Section 4.5.1 of the proposal form also states that human rights and gender perspectives will cut across all eight objectives of the proposal, and explains how this will happen.

⇒ The text of Section 4.5.4 of the Mauritius HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Mauritius-HIV-4.5.4.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Mauritius-HIV-4.5.4.pdf).

⇒ The text of Section 4.5.1 of the Mauritius HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Mauritius-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Mauritius-HIV-4.5.1.pdf).

⇒ The text of the full Mauritius HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1716&lang=en&CountryId=MVS](http://www.theglobalfund.org/programs/grant/?compid=1716&lang=en&CountryId=MVS).

⇒ The workplan for the Mauritius HIV proposal (in an Excel file together with the budget) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Mauritius-HIV-Workplan.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Mauritius-HIV-Workplan.xls).

## **Eritrea HIV (Category C2)**

**TRP Comment:** *Strong gender based approach covering delicate social and political issues like male circumcision and female genital mutilation.*

In Section 4.5.4 of the proposal form, the CCM describes gender issues in context of the HIV epidemic in Eritrea, and explains what how this proposal will address these issues. Examples of activities listed in this section are as follows:

- at least five gender train-the-trainer programmes for male and female health personnel;
- hiring of a gender expert;
- development of three training manuals on gender and reproductive health;
- a needs assessment to determine specific cases of violence against women, and the different response needs of women, girls, boys and men; and to obtain actionable evidence on the needs for gender empowerment and the ways to fight effectively against sexual violence.
- training for lawmakers and law enforcers on gender based violence issues such as early marriage, female genital mutilation, land ownership and other gender issues such as reproductive health rights, as well as the intertwining issues of gender and HIV/AIDS;
- training for almost 1,000 people at village level to enable them to become anti-female genital mutilation activists; and
- income generating programmes for more than 2,000 women-headed households.

In Section 4.5.1 of the proposal form, the last two objective listed are under the heading of “Gender and Gender Violence.” Nineteen activities related to these objectives are listed. These same activities can be found in the workplan portion of the proposal, along with, for each activity, targets, time frames for implementation, and an indication of who is responsible.

⇒ The text of Section 4.5.4 of the Eritrea HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Eritrea-HIV-4.5.4.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Eritrea-HIV-4.5.4.pdf).

⇒ The text of Section 4.5.1 of the Eritrea HIV proposal, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Eritrea-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Eritrea-HIV-4.5.1.pdf).

⇒ The text of the full Eritrea HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1671&lang=en&CountryId=ERT](http://www.theglobalfund.org/programs/grant/?compid=1671&lang=en&CountryId=ERT).

⇒ The workplan for the Eritrea HIV proposal (in an Excel file together with the budget) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Eritrea-HIV-Workplan.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Eritrea-HIV-Workplan.xls).

## **Nicaragua HIV (Category 2)**

**TRP Comment:** *The proposal recognizes the difficult social and legal environment to implement actions addressing sexual minorities in the country and aiming at behavioral changes. The proposal addresses important issues related to female victims of violence, children.*

In Section 4.5.4 of the proposal form, the Nicaraguan CCM discusses equality issues for seven population groups: women, children, orphans, adolescents and young people, indigenous peoples, sexual minorities and poor people. For each population group, the CCM describes the strategies that are included in the proposal to address the inequalities. This is a very well written section.

Specific activities addressing inequalities are included in Section 4.5.1 of the proposal form, primarily under objectives 1 and 3. The activities are broken down further (into tasks) in the workplan portion of the proposal, although no timelines are provided.

⇒ The text of Section 4.5.4 of the Nicaragua HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Nicaragua-HIV-4.5.4.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Nicaragua-HIV-4.5.4.pdf).

⇒ The text of Section 4.5.1 of the Nicaragua HIV proposal, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Nicaragua-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Nicaragua-HIV-4.5.1.pdf).

⇒ The text of the full Nicaragua HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1728&lang=en&CountryId=NIC](http://www.theglobalfund.org/programs/grant/?compid=1728&lang=en&CountryId=NIC).

⇒ The workplan for the Nicaragua HIV proposal (in an Excel file together with the budget) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Nicaragua-HIV-Workplan.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Nicaragua-HIV-Workplan.xls).

## **Tajikistan TB (Category 2)**

**TRP Comment:** *Issues of inequity for high risk groups and for women are discussed at length, with a focus on community inclusion as the main strategy to overcome barriers for these groups.*

The discussion referred to by the TRP can be found in Section 4.5.4 of the proposal form. It is fairly short (contrary to what the TRP said in its comment), but to the point. Aidspace was not able to find additional discussion of these issues elsewhere in the proposal.

⇒ The text of Section 4.5.4 of the Tajikistan TB proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Tajikistan-TB-4.5.4.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Tajikistan-TB-4.5.4.pdf).

⇒ The text of the full Tajikistan TB proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1764&lang=en&CountryId=TAJ](http://www.theglobalfund.org/programs/grant/?compid=1764&lang=en&CountryId=TAJ).

## KEY STRENGTH 6 – Multiple Sectors in Service Delivery

The proposal includes the use of multiple sectors and partners to deliver services, so as to scale up more quickly towards universal access.

The proposal form asks for information on the involvement of the private sector in Section 4.6.3. Applicants can use Section 9.0 to provide Information on the involvement of civil society organisations and private sector organisations as PRs and SRs, and Section 4.5.1 to provide information about specific objectives and activities that will be carried out by civil society organisations and the private sector.

We provide examples of HIV proposals from Lesotho and Tanzania, a TB proposal from Bangladesh, and a malaria proposal from Nigeria, which were praised by the TRP for using multiple sectors to deliver services.

### **Bangladesh TB (Category 2)**

***TRP Comment:** Strong partnership demonstrated through co-investment in activities by the private sector whereby the work place provides space and necessary human resources, and the National Tuberculosis Programme provides logistics and drugs. Provides evidence of a strong approach towards sustainable models, by connecting with and involving NGOs.*

The involvement of the private sector is described in Section 4.6.3(a) of the proposal form. Section 4.6.3(b) provides information on the financial contribution of the private sector to the programme described in the proposal.

The involvement of NGOs is described in Section 4.9 of the proposal form. Section 4.9.1 reveals that one of the two nominated PRs is an NGO (BRAC). Section 4.9.2 describes the role NGOs will play in community-based directly observed treatment, short course (DOTS) expansion, and lists 30 NGOs that will serve as SRs. Section 4.9.2 also provides a table showing that more than half of the programme funding will flow through the NGO PR.

⇒ The text of Section 4.6.3 of the Bangladesh TB proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Bangladesh-TB-4.6.3.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Bangladesh-TB-4.6.3.pdf).

⇒ The text of all of Section 4.9 of the Bangladesh TB proposal, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Bangladesh-TB-4.9.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Bangladesh-TB-4.9.pdf).

⇒ The text of the full Bangladesh TB proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1620&lang=en&CountryId=BAN](http://www.theglobalfund.org/programs/grant/?compid=1620&lang=en&CountryId=BAN).

### **Nigeria Malaria (Category 2B)**

***TRP Comment:** The implementation of the proposal intends to involve a wide range of partners such as line ministries and other sectors, including the private sector, NGOs and the communities.*

The involvement of the private sector is described in Section 4.6.3(a) of the proposal form. Section 4.6.3(b) provides information on the financial contribution of the private sector to the

programme included in the proposal. As well, a private sector consortium is one of the three nominated PRs; this PR is described in Section 4.9.1 of the proposal form. Finally, several private sector organisations will serve as SRs; this is described in Section 4.9.3 of the proposal form. Some of these organisations are foundations.

The involvement of the NGO sector is reflected in the choice of two nominated NGO PRs, described in Section 4.9.1 of the proposal form; and in the use of several NGO SRs, described in Section 4.9.3 of the proposal form.

⇒ The text of Section 4.6.3 of the Nigeria malaria proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Nigeria-Malaria-4.6.3.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Nigeria-Malaria-4.6.3.pdf).

⇒ The text of all of Section 4.9 of the Nigeria malaria proposal, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Nigeria-Malaria-4.9.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Nigeria-Malaria-4.9.pdf).

⇒ The text of the full Nigeria malaria proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1730&lang=en&CountryId=NGA](http://www.theglobalfund.org/programs/grant/?compid=1730&lang=en&CountryId=NGA).

## **Lesotho HIV (Category 2)**

**TRP Comment:** *Proposal strengthens links with civil society organizations and the private sector. Innovative approaches to BCC which target vulnerable groups (commercial sex workers, men having sex with men, prisoners, herd-boys).*

The involvement of civil society organisations and the private sector is described as part of the overall implementation strategy in Section 4.5.1, but you have to search through the many activities listed to find those that are relevant. The following are some examples:

- Support programmes for out-of-school youth through civil society organisations.
- Train and support youth ambassadors on HIV and AIDS.
- Community-based organisations (CBOs), faith-based organisations, business and labour groups will be supported to develop and implement community HIV-prevention projects.
- CBOs will be supported to review initiatives and identify best practices that can then be replicated and scaled up.
- Support umbrella bodies to provide institutional and programmatic mentoring to their members.

⇒ The text of Section 4.5.1 of the Lesotho HIV proposal, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Lesotho-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Lesotho-HIV-4.5.1.pdf).

⇒ The text of the full Lesotho HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1709&lang=en&CountryId=LSO](http://www.theglobalfund.org/programs/grant/?compid=1709&lang=en&CountryId=LSO).

## **Tanzania HIV (Category 2)**

**TRP Comment:** *Promotion of private sector involvement and participation through the inclusion of the AIDS Business Coalition of Tanzania (ABCT), to reach private companies with HIV specific workplace interventions and through the Association of Private Health Facilities of Tanzania (APHFTA) to extend the provision of care, treatment and support to*

*HIV-positive individuals who are unable to access those services by default (i.e., there is no service provider close to them).*

The involvement of the private sector is described in Section 4.6.3(a) of the proposal form. Section 4.6.3(b) provides information on the financial contribution of the private sector to the programme included in the proposal.

The involvement of the ABCT and the APHFTA is further described in Section 4.5.1 of the proposal form, and in the workplan portion of the proposal, under Goal 2, Objective 1, SDA 1.2 (Strengthen the Private Sector).

⇒ The text of Section 4.6.3 of the Tanzania HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Tanzania-HIV-4.6.3.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Tanzania-HIV-4.6.3.pdf).

⇒ The text of Section 4.5.1 of the Tanzania HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Tanzania-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Tanzania-HIV-4.5.1.pdf).

⇒ The text of the full Tanzania HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1765&lang=en&CountryId=TNZ](http://www.theglobalfund.org/programs/grant/?compid=1765&lang=en&CountryId=TNZ).

⇒ The workplan for the Tanzania HIV proposal (in an Excel file together with the budget) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Tanzania-HIV-Workplan.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Tanzania-HIV-Workplan.xls).

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## KEY STRENGTH 7 – Monitoring and Evaluation

The proposal has a clear plan for monitoring activities and evaluating the impact of interventions.

Section 4.8 of the proposal form, entitled “Impact Measurement,” is where applicants were asked to describe the current national M&E system for the disease (Section 4.8.1) and what strategies are included in the proposal to strengthen that system (Section 4.8.3). In many proposals, Section 4.5.1 (Implementation Strategy) included objectives or activities related to M&E. In some proposals, the workplan and Attachment A (Performance Framework) provided additional information on the M&E plan.

We provide examples of HIV proposals from Indonesia and Nicaragua, a malaria proposal from Papua New Guinea, and a TB proposals from Indonesia, which the TRP identified as having strong M&E components.

### **Indonesia TB (Category 1)**

**TRP Comment:** *Substantial investment ... on monitoring and evaluation, consistent with the major weakness identified by the external review.*

A description of how the proposal will strengthen the TB M&E systems in Indonesia can be found in Section 4.8.3 of the proposal form. Additional information can be found in Section 4.5.1 of the proposal form and in the workplan portion of the proposal, under Objective 1, SDA 1.4 (Monitoring and Evaluation: Quality Surveillance and Supervision). Indicators for the M&E-related activities can be found in Attachment A (Performance Framework).

⇒ The text of Section 4.8.3 of the Indonesia TB proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Indonesia-TB-4.8.3.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Indonesia-TB-4.8.3.pdf).

⇒ The text of Section 4.5.1 of the Indonesia TB proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Indonesia-TB-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Indonesia-TB-4.5.1.pdf).

⇒ The text of the full Indonesia TB proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1693&lang=en&CountryId=IND](http://www.theglobalfund.org/programs/grant/?compid=1693&lang=en&CountryId=IND).

⇒ Attachment A (Performance Framework) of the Indonesia TB proposal is available at the end of the file that contains the full Indonesia TB Proposal Form (see link above).

⇒ The workplan for the Indonesia TB proposal (in an Excel file together with the budget) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Indonesia-TB-Workplan.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Indonesia-TB-Workplan.xls).

### **Indonesia HIV (Category 1)**

**TRP Comment:** *Sound monitoring and evaluation plan with a comprehensive list of indicators.*

The Indonesia CCM describes what it plans to do to strengthen HIV M&E systems in Section 4.8.3 of the proposal form. Additional information can be found in Section 4.5.1 of the proposal form and in the workplan portion of the proposal, under Objective 3, SDA 3.3 (Strengthening Strategic Information System). The comprehensive list of indicators referred to in the TRP comment can be found in the workplan, in the column labelled ‘Target Type.’

⇒ The text of Section 4.8.3 of the Indonesia HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Indonesia-HIV-4.8.3.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Indonesia-HIV-4.8.3.pdf).

⇒ The text of Section 4.5.1 of the Indonesia HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Indonesia-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Indonesia-HIV-4.5.1.pdf).

⇒ The text of the full Indonesia HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1692&lang=en&CountryId=IND](http://www.theglobalfund.org/programs/grant/?compid=1692&lang=en&CountryId=IND).

⇒ The workplan for the Indonesia HIV proposal (in an Excel file together with the budget) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Indonesia-HIV-Workplan.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Indonesia-HIV-Workplan.xls).

### **Nicaragua HIV (Category 2)**

**TRP Comment:** *The proposal includes a plan to strengthen the national health information system in a comprehensive manner.*

The M&E plan is described in Section 4.8.3 of the proposal form. There is a separate objective in the proposal to strengthen the health information systems (Objective 4). Section 4.5.1 identifies the activities and target populations for this objective, as well as who is responsible for carrying out the activities. A longer list of activities and target populations can be found in the workplan part of the proposal.

⇒ The text of Section 4.8.3 of the Nicaragua HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Nicaragua-HIV-4.8.3.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Nicaragua-HIV-4.8.3.pdf).

⇒ The text of Section 4.5.1 of the Nicaragua HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Nicaragua-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Nicaragua-HIV-4.5.1.pdf).

⇒ The text of the full Nicaragua HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1728&lang=en&CountryId=NIC](http://www.theglobalfund.org/programs/grant/?compid=1728&lang=en&CountryId=NIC).

⇒ The workplan for the Nicaragua HIV proposal (in an Excel file, along with the budget) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Nicaragua-HIV-Workplan.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Nicaragua-HIV-Workplan.xls).

### **Papua New Guinea Malaria (Category 2)**

**TRP Comment:** *Excellent comprehensive information strategy.*

In Section 4.8.3 of the proposal form, the Papua New Guinea CCM describes the weaknesses of the country’s malaria M&E systems, and current strategies for strengthening

the system. Additional information can be found in Section 4.5.1 of the proposal form under Objective 5, SDA 5 (Systems Strengthening and Capacity Development).

⇒ The text of Section 4.8.3 of the Papua New Guinea Malaria proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/PNG-Malaria-4.8.3.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/PNG-Malaria-4.8.3.pdf).

⇒ The text of Section 4.5.1 of the Papua New Guinea Malaria proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/PNG-Malaria-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/PNG-Malaria-4.5.1.pdf).

⇒ The text of the full Papua New Guinea Malaria proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1735&lang=en&CountryId=PNG](http://www.theglobalfund.org/programs/grant/?compid=1735&lang=en&CountryId=PNG).

## KEY STRENGTH 8 – Detailed Budget

The proposal includes a budget with sufficient detail and assumptions to allow for the costs of activities to be fully assessed.

Applicants were required to submit a detailed budget in a document in Excel format, separate from the proposal. If they wished, they could combine the budget with the workplan they were also required to submit.

We provide examples of a malaria proposal from Ethiopia and a TB proposal from Madagascar whose budgets received strong praise from the TRP.

### **Ethiopia Malaria (Category 1)**

**TRP Comment:** *Outstanding budget presentation with listing of all assumptions made and data on which the budget has been based on.*

The information on the budget is presented in an Excel file that also contains the workplan. There is a separate table showing the general assumptions used in the preparation of the budget. Another table provides more detailed assumptions. The detailed budgets for Year 1, Year 2 and Years 3-5 are presented in clear and thorough tables, organized by objective, SDA and activity. Unit costs and assumptions for each activity are shown in separate columns. Summary budget tables are also provided (as required by the Global Fund).

⇒ The budget for the Ethiopia Malaria proposal (in an Excel file, along with the workplan) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Ethiopia-Malaria-Budget.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Ethiopia-Malaria-Budget.xls).

⇒ The text of the full Ethiopia Malaria proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1672&lang=en&CountryId=ETH](http://www.theglobalfund.org/programs/grant/?compid=1672&lang=en&CountryId=ETH).

### **Madagascar TB (Category 2B)**

**TRP Comment:** *There is an excellently presented budget which has clearly linked unit costs and activities. This budget provides an example of best practice.*

The information on the budget is presented in an Excel file that also contains the workplan. The detailed budgets for Year 1, Year 2 and Years 3-5 are organized by objective, SDA, implementation strategy and activity. For each activity, each cost item is listed, and both quantities and unit costs are shown. Very detailed information is presented. For example, costs are shown for various elements required to put on a training course (e.g., trainer allowance, participant travel expenses) and then the cost of putting on one training course is indicated. Finally, the number of training courses and the total cost for these courses is shown. Summary budget tables are also provided (as required by the Global Fund).

⇒ The budget for the Madagascar TB proposal (in an Excel file, along with the workplan) is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Madagascar-TB-Budget.xls](http://www.aidspace.org/documents/globalfund/trp/round_8/Madagascar-TB-Budget.xls).

⇒ The text of the full Madagascar TB proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1713&lang=en&CountryId=MDG](http://www.theglobalfund.org/programs/grant/?compid=1713&lang=en&CountryId=MDG).



## KEY STRENGTH 9 – Coordination and Management

The proposal features a strong coordination and management plan.

Section 4.9.5 of the proposal form asks applicants to describe how coordination between implementers would be handled. It poses two questions: (a) how will PRs interact where their work is linked? and (b) to what extent will partners support implementation? In their responses, applicants sometimes went beyond these specific questions in order to describe other management arrangements that were being put in place, or the specific responsibilities of each PR (and, in some cases, each SR).

Sometimes, applicants used Section 4.5.1 of the proposal form (implementation strategy) to describe which PRs and SRs will be responsible for which activities.

We provide examples of HIV proposals from the Democratic Republic of Congo and Gambia which the TRP identified as having particularly strong coordination and management plans.

### **Democratic Republic of Congo (DRC) HIV (Category 2)**

***TRP Comment:** 3 principal recipients identified (dual-track financing) – Ministry of Public Health, Cordaid and SANRU with a clear description of respective roles and responsibilities.*

In Section 4.9.5 of the proposal form, the DRC CCM provides a very clear and concise explanation of how coordination will be handled. It states that a PR coordination commission will be set up to avoid conflicts of interest and ensure that the work of the various PRs is harmonised. It describes the composition of the commission and lists in bullet form what the commission will do.

⇒ The text of Section 4.9.5 of the DRC HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/DRC-HIV-4.9.5.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/DRC-HIV-4.9.5.pdf).

⇒ The text of the full DRC HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1657&lang=en&CountryId=ZAR](http://www.theglobalfund.org/programs/grant/?compid=1657&lang=en&CountryId=ZAR).

### **Gambia HIV (Category 2)**

***TRP Comment:** Responsibilities for implementation of the proposal at activities and sub-activities levels clearly presented with identification of specific Principal Recipients and Sub-Recipients/implementers.*

In Section 4.9.5 of the proposal form, the Gambia CCM explains how the programme will be coordinated. For example, a mechanism involving the two PRs (one government and one NGO) will be established to ensure programmatic review and reporting of progress; and memorandums of understanding will be signed between each PR and each SR for which it is responsible. The responsibilities of the two PRs are described, as are the ways in which they will ensure regular communications between them. Finally, the oversight role of the CCM is described.

In addition, the Gambia CCM makes good use of Section 4.5.1 to describe the responsibilities of the two PRs and the various SRs for each of the main activities of the proposal.

⇒ The text of Section 4.9.5 of the Gambia HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Gambia-HIV-4.9.5.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Gambia-HIV-4.9.5.pdf).

⇒ The text of Section 4.5.1 of the Gambia HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Gambia-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Gambia-HIV-4.5.1.pdf).

⇒ The text of the full Gambia HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1676&lang=en&CountryId=GMB](http://www.theglobalfund.org/programs/grant/?compid=1676&lang=en&CountryId=GMB).

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## KEY STRENGTH 10 – Complementarity and Additionality

The proposal complements and adds to other initiatives, including previous Global Fund grants, programmes funded by other donors, and government initiatives.

In Section 4.6 of the proposal form, applicants were asked to describe the links between their proposal and other interventions: Section 4.6.1 for links to other Global Fund grants; Section 4.6.2 for links to interventions financed by other donors.

We provide examples of a Malaria proposal from the Democratic Republic of Congo and an HIV proposal from Viet Nam which the TRP identified as doing very good jobs of describing how the proposal complements and adds to other initiatives.

### **Democratic Republic of Congo (DRC) Malaria**

**TRP Comment:** *There is an excellent description of the substantial contribution of other funding agencies.*

In Section 4.6.2 of the proposal form, the DRC CCM describes clearly and succinctly current or expected support for malaria control from eight funding agencies. Not mentioned in the TRP's comments, but also important, in Section 4.6.1 the CCM describes how this proposal complements the Round 3 Malaria grant from the Global Fund, and includes a table explaining how the problems that arose during the implementation of the Round 3 grant were addressed.

⇒ The text of all of Section 4.6 of the DRC Malaria proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/DRC-Malaria-4.6.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/DRC-Malaria-4.6.pdf).

⇒ The text of the full DRC Malaria proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1658&lang=en&CountryId=ZAR](http://www.theglobalfund.org/programs/grant/?compid=1658&lang=en&CountryId=ZAR).

### **Viet Nam HIV (Category 2)**

**TRP Comment:** *Good analysis of complementarity of the Round 8 funds with Round 6 and with other partners contribution to the fight of the epidemic in Vietnam.*

In Section 4.6.1 of the proposal form, the Viet Nam CCM describes how this proposal complements the Round 6 HIV grant from the Global Fund. In the same section, the CCM explains what lessons were learned from the implementation of the Round 1 HIV grant from the Fund.

In Section 4.6.2, the CCM provides a description of HIV-related donor assistance in the provinces covered by the Round 8 proposal; explains the linkages between this donor assistance and the proposal for each of the proposal's three objectives; discusses the major challenges in the implementation of other donor assisted programmes; and describes the steps taken to address the challenges.

⇒ The text of all of Section 4.6 of the Viet Nam HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Viet-Nam-HIV-4.6.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Viet-Nam-HIV-4.6.pdf).

⇒ The text of the full Viet Nam HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1777&lang=en&CountryId=VTN](http://www.theglobalfund.org/programs/grant/?compid=1777&lang=en&CountryId=VTN).

## KEY STRENGTH 11 – Alignment

The proposal is clearly aligned with national development strategies, and national policies and plans for the disease.

To understand how the proposal complements existing national programmes, one has to refer to Section 4.0 of the proposal form, particularly Section 4.1 (description of the current national programme for the disease), Section 4.3.1 (weaknesses of the current national programme), and Section 4.5.1 (implementation strategy).

We provide examples of an HIV proposal from Gabon and a TB proposal from Indonesia which the TRP said were very well aligned with national strategies, policies and plans.

### **Gabon HIV (Category 1)**

**TRP Comment:** *The proposal is clearly aligned with national policies and plans, and seeks to support and strengthen a system and strategies already in place.*

The current national HIV programme is described in Section 4.1 of the proposal form. Section 4.3.1 identifies the major weaknesses in the HIV programme. The implementation plan for this proposal is described in detail in Section 4.5.1. A comparison of the three sections shows that the implementation plan is well aligned with the national programme. Furthermore, the description of the strategies in Section 4.5.1 makes frequent references to the weaknesses identified in Section 4.3.1.

- ⇒ The text of Section 4.1 of the Gabon HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Gabon-HIV-4.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Gabon-HIV-4.1.pdf).
- ⇒ The text of Section 4.3.1 of the Gabon HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Gabon-HIV-4.3.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Gabon-HIV-4.3.1.pdf).
- ⇒ The text of Section 4.5.1 of the Gabon HIV proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Gabon-HIV-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Gabon-HIV-4.5.1.pdf).
- ⇒ The text of the full Gabon HIV proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1674&lang=en&CountryId=GAB](http://www.theglobalfund.org/programs/grant/?compid=1674&lang=en&CountryId=GAB).

### **Indonesia TB (Category 1)**

**TRP Comment:** *Good alignment national planning and fiscal cycles.*

A comparison of the description of the current national TB strategy in Section 4.1 of the proposal form, and the description of the implementation plan for this proposal in Section 4.5.1, clearly demonstrate that the two are well aligned.

- ⇒ The text of Section 4.1 of the Indonesia TB proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Indonesia-TB-4.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Indonesia-TB-4.1.pdf).
- ⇒ The text of Section 4.5.1 of the Indonesia TB proposal form, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Indonesia-TB-4.5.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Indonesia-TB-4.5.1.pdf).

⇒ The text of the full Indonesia TB proposal form, in PDF format, is available at [www.theglobalfund.org/programs/grant/?compid=1693&lang=en&CountryId=IND](http://www.theglobalfund.org/programs/grant/?compid=1693&lang=en&CountryId=IND).

## KEY STRENGTH 12 – Absorptive Capacity

The proposal includes a clear and realistic analysis of implementation and absorptive capacity constraints.

There is no question on the proposal form that specifically asks about implementation and absorptive capacity. In Section 4.7.1 (Strengthening Capacity and Processes to Achieve Improved Disease Outcomes), the Global Fund notes that “the relative capacity of government and non-government sector organizations ... can be a significant constraint on the ability to reach and provide services to people....” However, applicants are not asked to talk about the constraints; rather, they are asked to talk about what will be done to strengthen capacity.

In our analysis of the strengths identified by the TRP for Round 8 proposals rated Category 1 or 2, we did not find any references to “implementation and absorptive capacity,” despite the fact that the TRP identified that having a clear and realistic analysis of implementation and absorptive capacity was one of the prerequisites for a successful proposal.

However, we found some references to absorptive capacity in the proposals themselves – mainly, but not solely, from countries in conflict. We provide three examples below.

- **Congo TB (Category 2B).** In Section 4.7.1 of the proposal form, in the first paragraph, the Congo CCM describes serious problems in the health sector and how these affect the fight against TB. The text of this section, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Congo-TB-4.7.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Congo-TB-4.7.1.pdf).
- **Sudan North TB (Category 2B).** In Section 5.1.1 of the proposal form, the CCM acknowledges that the budget was adjusted downwards to reflect the country’s absorptive capacity. The text of this section, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Sudan-North-TB-5.1.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Sudan-North-TB-5.1.1.pdf).
- **Eritrea HIV (Category 2).** In the last paragraph of Section 4.7.1, the Eritrean CCM acknowledges that “the unavailability of infrastructure and related support has been a major obstacle...” The text of this section, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Eritrea-HIV-4.7.1.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Eritrea-HIV-4.7.1.pdf).

## Chapter 3: Findings for HSS Components

In Round 8 proposals, applicants were allowed to submit a separate component on HSS activities that benefited all three diseases, although the component had to be included in one of the disease-specific proposals.

Applicants were asked to describe the HSS programme implementation strategy in Section 4B of the proposal form; and to describe the funding request for the HSS component in Section 5B.

Applicants were required to provide an HSS workplan in an Excel file (either in a separate file, or in a separate tab in the disease-specific workplan file). Similarly, applicants had to provide a detailed HSS budget in an Excel file (either in a separate file, or in a separate tab in the disease-specific workplan file).

In its comments on disease-specific proposals that included an HSS component, the TRP commented separately on the strengths of the HSS component.

Some of the 12 key strengths identified by Aidsplan (listed in *Chapter 1: Introduction and Background*) also apply to HSS components, while others do not, so we have not organised this chapter by strength. Instead, we have identified a limited number of HSS components that received considerable praise from the TRP, and we list these below.

### **Zambia (Category 1)**

The HSS component submitted by the Zambian CCM was very favourably received by the TRP.

With respect to **Key Strength 1 – Implementation Strategy**, this is what the TRP said:

*Comprehensive proposal with clearly articulated interventions, supported by a detailed workplan and budget. Although four separate interventions are proposed, the proposal emphasizes very clear the inter-linkages between the interventions (e.g. between human resources and community system strengthening, between information and community system) which brings the health system as a whole in the picture and not just “building blocks.” Intervention dealing with the health workforce addresses and integrates supply, demand and utilization aspects, and a critical analysis of shortfalls in health personnel and a move to task shifting over the medium to longer term, to community based health care workers (and can therefore be used as an excellent example of what an overall HR strategy and/or plan should look like and include).*

With respect to **Key Strength 6 – Multiple Sectors in Service Delivery**, the TRP commented as follows:

*HSS key stakeholders represent a wide and selective range of governmental agencies, statutory bodies, development partners, etc but also from the field (e.g. training institutions), so that it brings in non-classical stakeholders into the discussion on the systems strengthening needs.*

With respect to **Key Strength 8 – Detailed Budget**, the TRP said:

*Clear and detailed budget, including specific breakdowns with examples.*

⇒ The text of Section 4B (programme description) of the Zambia HSS component, in PDF format, is available at [www.aidspan.org/documents/globalfund/trp/round\\_8/Zambia-HSS-4B.pdf](http://www.aidspan.org/documents/globalfund/trp/round_8/Zambia-HSS-4B.pdf).

⇒ The workplan and budget for the Zambia HSS component (together in one Excel file) is available at [www.aidspan.org/documents/globalfund/trp/round\\_8/Zambia-HSS-Workplan-and-Budget.xls](http://www.aidspan.org/documents/globalfund/trp/round_8/Zambia-HSS-Workplan-and-Budget.xls).

### **Belarus (Category 1)**

With respect to **Key Strength 3 – Situational Analysis**, the TRP commented as follows:

*Good analysis of health system weaknesses, followed by a rational proposal to address findings.*

⇒ The text of Section 4B (programme description) of the Belarus HSS component, in PDF format, is available at [www.aidspan.org/documents/globalfund/trp/round\\_8/Belarus-HSS-4B.pdf](http://www.aidspan.org/documents/globalfund/trp/round_8/Belarus-HSS-4B.pdf).

### **Guyana (Category 2)**

### **Swaziland (Category 2)**

### **Zimbabwe (Category 2)**

Although these HSS components were all rated Category 2, the TRP commented at great length on their strengths. Rather than repeat all of the TRP comments here, we provide links to the TRP comment sheets. We also provide links to the HSS programme descriptions for each of the three proposals.

#### *Guyana*

⇒ The text of the TRP comments on the Guyana HSS component, in PDF format, is available at [www.aidspan.org/documents/globalfund/trp/round\\_8/trp-r8-gya-hiv.pdf](http://www.aidspan.org/documents/globalfund/trp/round_8/trp-r8-gya-hiv.pdf).

⇒ The text of Section 4B (programme description) of the Guyana HSS component, in PDF format, is available at [www.aidspan.org/documents/globalfund/trp/round\\_8/Guyana-HSS-4B.pdf](http://www.aidspan.org/documents/globalfund/trp/round_8/Guyana-HSS-4B.pdf).

#### *Swaziland*

⇒ The text of the TRP comments on the Swaziland HSS component, in PDF format, is available at [http://www.aidspan.org/documents/globalfund/trp/round\\_8/trp-r8-swz-hiv.pdf](http://www.aidspan.org/documents/globalfund/trp/round_8/trp-r8-swz-hiv.pdf).

⇒ The text of Section 4B (programme description) of the Swaziland HSS component, in PDF format, is available at [www.aidspan.org/documents/globalfund/trp/round\\_8/Swaziland-HSS-4B.pdf](http://www.aidspan.org/documents/globalfund/trp/round_8/Swaziland-HSS-4B.pdf).

#### *Zimbabwe*

⇒ The text of the TRP comments on the Zimbabwe HSS component, in PDF format, is available at [http://www.aidspan.org/documents/globalfund/trp/round\\_8/trp-r8-zim-mal.pdf](http://www.aidspan.org/documents/globalfund/trp/round_8/trp-r8-zim-mal.pdf).

⇒ The text of Section 4B (programme description) of the Zimbabwe HSS component, in PDF format, is available at [www.aidspace.org/documents/globalfund/trp/round\\_8/Zimbabwe-HSS-4B.pdf](http://www.aidspace.org/documents/globalfund/trp/round_8/Zimbabwe-HSS-4B.pdf).

## Annex A: The TRP's Prerequisites

In its *“Report of the Technical Review Panel and the Secretariat on Round 8 Proposals,”* available via [www.theglobalfund.org/en/board/meetings/eighteenth/documents](http://www.theglobalfund.org/en/board/meetings/eighteenth/documents), the TRP described what it considered to be the “minimum fundamental prerequisites for a recommendation for funding.” The prerequisites listed by the TRP were as follows:

- i. A disease proposal that is based upon and responds directly to the current, documented, epidemiological situation;
- ii. A coherent strategy that flows in a consistent order throughout the proposal – with the implementation plans ... having the same objectives, program areas ('Service Delivery Areas'), and interventions/activities as are stated in the budget, the work plan, the 'Performance Framework';
- iii. A robust gap analysis, both programmatic and financial, that accounts for the full extent of existing resources (including those planned and/or reasonably anticipated based on past practice) and not merely signed arrangements;
- iv. Clear and realistic analysis of implementation and absorptive capacity constraints (whether disease specific or broader health systems) that relate directly to the in-country social, environmental and other contexts;
- v. Logical strategies to address capacity constraints, whether through the existing funding application, or through other domestic or partner supported initiatives (which are also subject to performance assessments and adjustments);
- vi. Implementation arrangements that recognize and respond to the need to broaden service delivery channels to multiple sectors to achieve universal access to prevention, treatment, and care and support services for people most affected;
- vii. Demonstrated effort to address the more challenging drivers of, especially, the HIV epidemic in ways that will have a meaningful impact on preventing further infections;
- viii. A clear plan for how to monitor activities and evaluate the impact of interventions;
- ix. A budget that is sufficiently detailed to allow the costs of activities to be assessed;
- x. A workplan that makes clear the timing and sequencing of activities and responsibilities for each activity; and
- xi. Planned outcomes (included as indicators in the 'Performance Framework') that address and respond to current epidemiological data, and demonstrate that the incremental investment of additional Global Fund resources will improve disease specific and broader health outcomes for those most at risk.

In its report to the Board, the TRP said, “Together, these [prerequisites] demonstrate to the TRP that the applicant has a clear need for the additional resources, and has planned its funding request in a way that will supplement and strengthen in-country responses to the three diseases.”

Although these prerequisites have not been adopted as formal Global Fund policy, they nevertheless provide important guidance for applicants preparing proposals for Round 9 (and beyond) because they provide insight into the way the TRP evaluates proposals. Aidsplan has incorporated the TRP's prerequisites into its list of 12 key strengths, which forms the basis of this report.